Forward from the Assistant Commissioner of Special Populations

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Dear educators,

In 2015, the Tennessee Department of Education outlined its vision, goals, priorities, and strategies in the Tennessee Succeeds strategic plan (here). This plan is anchored in the foundational belief that all students can achieve and all students deserve access to postsecondary and career opportunities after graduation. Within the special populations division, it is our mission to support districts and schools in graduating students who are equipped with the knowledge and skills necessary to successfully embark on their chosen path in life.

The beliefs at the forefront of our work are as follows:

- Special education is not a place; it is the most intensive intervention along the continuum of service, defined by individual need, services, and placement.
- Strong leadership at every level is the foundation of a collaborative and inclusive environment that supports all students.
- All students are general education students first, and every student can learn and demonstrate growth. Thus, all students must have access to high-quality, evidence-based instruction that maximizes his/her potential in the Least Restrictive Environment (LRE).
- Educators are the key to student success and should be supported instructionally and professionally.
- All students can achieve postsecondary success.

A significant part of ensuring a student's needs are met is the Individualized Education Program (IEP), a document that identifies a student's disability, outlines clear goals and objectives, and explains how the student will be supported. The IEP guides how a school configures its special education resources in order to provide opportunities for a student with disabilities to achieve desired outcomes.

The purpose of the Special Education Framework is to support educators in writing instructionally appropriate IEPs. Several years ago, the department developed the first Special Education Framework and has continuously garnered feedback from educators on how to improve the framework in order to be most useful to teachers as they support students with disabilities.

The framework is now organized into two sections: (I) general information about special education and (II) writing IEPs. Other significant improvements include a component on the development of writing short-term objectives, additional clarification around service delivery, and links to resources for the IEP team. Looking ahead, the next revision of the framework will include a third section on the implementation of IEPs—with a clear delineation between best practices and legal requirements.

We thank you for your dedication to serving students with disabilities in Tennessee. We look forward to providing our continued work together to improve outcomes for all students.

Theresa Nicholls
Assistant Commissioner, Special Populations & Student Support
Component 1: Overview of Special Education

Introduction
Research and practice have demonstrated that several factors are necessary to significantly improve outcomes for students with disabilities. In order for all students to receive meaningful instruction and services, schools must provide:

- high expectations for students with disabilities;
- appropriate differentiation and scaffolding to support students with disabilities in participation and progress towards the general education curriculum;
- meaningful opportunities for parent(s)/legal guardian(s) to participate in the development, review, and revision of the IEP and participate in the education of their children at school and home;
- appropriate supplementary supports and services in the general education classroom whenever appropriate;
- effective systems of behavior support at the school, class, and individual level;
- appropriate skills and knowledge for those who work with students with disabilities to help such students meet academic and functional goals;
- preparation for students to transition to adult living and learning to lead productive, independent adult lives to the maximum extent practicable; and
- high-quality resources and instructional supports focused on teaching and learning.

Additionally, to foster a respectful and inclusive environment, teachers and leaders should recognize special education as part of the continuum of services and supports, rather than as a location where struggling students are sent. Special education should be considered the most intensive intervention in relation to the tiered interventions outlined in the RTI² framework.

It is important to note that students with disabilities may also belong to other subgroups (e.g., English learner (EL), migrant, immigrant, homeless, neglected and delinquent, economically disadvantaged, etc.). These students may require additional accommodations, modifications, and supports so that they can access the special education services.

Individualized Education Program (IEP): The Cornerstone of the Special Education Framework
An IEP is a written document for a student with one or more disabilities, which is developed, reviewed, and revised annually by the IEP team. Federal and state laws and regulations specify the information that must be documented in each student’s IEP and require that an IEP be in place by the beginning of the school year.

Generally, the document identifies the student’s individual needs based on his/her specific area(s) of exceptionality (deficit) and how the school will strategically address those needs. It also identifies how teachers and interventionists will provide specifically designed instruction, support students in
the general education curriculum, and provide access to the same grade-level learning standards as the student’s non-disabled peers.

This guidance document provides important information for IEP teams on developing IEPs that will set up students for success in their K–12 academic careers and open pathways for postsecondary and career options.

**Component 1.1: The IEP Meeting**

An IEP meeting must be held to review a student’s evaluation results and determine his/her eligibility for special education services (see component 2). Once a child has been found eligible for special education and related services, an IEP must be developed within 30 calendar days.

During the IEP meeting, team members (including the parent/legal guardian) will discuss and decide on the statements associated with each IEP component, especially the following:

- strengths and concerns related to the student's progress;
- the present levels of educational performance (PLEP) statement;
- the Measurable Annual Goals (MAG) that are appropriate for the student;
- accommodations and access to general education, including LRE;
- the type of special education services the student needs;
- the related services necessary to help the student benefit from his or her specialized instruction and interventions; and
- eligibility for an extended school year and/or special transportation.

IEP meetings can be held for various purposes. The following is a list of possible purposes of an IEP meeting:

- To review educational status and determine what data, if any, are needed to complete evaluation/re-evaluation
- To review the results of the initial evaluation/reevaluation and determine eligibility for special education and related services
- To review and/or develop an IEP
- To consider educational placement (includes a change in educational placement, graduation and termination of eligibility)
- To consider a manifestation determination based upon a disability prior to a disciplinary action/hearing
- To consider the need for a functional behavior assessment (FBA)
- To consider the need to create or revise a behavior intervention plan (BIP)
- To consider the need to develop or revise a transition plan
- To review anticipated date of graduation or exit from special education
- Other appropriate purposes as determined by the IEP team
These purposes are quite different from one another, and would lead to varied discussions and decisions that would be made during the meeting.

**Component 1.2: IEP Team Representatives**

The IEP team must initially develop, and annually review and revise, the IEP. The IEP team is required to include individuals who know the student and his or her unique needs and who can commit the resources of the school to address the student's needs.

To develop an appropriate IEP for the student, a group of individuals with knowledge and expertise about the student's strengths and individual needs—as well as knowledge about the curriculum and resources of the school—must consider the student's evaluation information to make decisions in an effective and efficient manner. Information about the student's strengths, interests, and unique needs are gathered from multi-disciplinary team members (see below). This information, along with evaluations and observations, creates the foundation to build a program that will include appropriate interventions based on specific areas of deficit. Each member of the multidisciplinary team brings information and a unique perspective to the discussion of the student's needs and has an important role and responsibility to make recommendations for the student's educational program.

The composition of the IEP team is prescribed by the federal Individuals with Disabilities Education Act (IDEA). The school district is responsible for ensuring that the IEP team for each child with a disability includes the following:

1. The parents and/or guardian of the child
   a. For guidance on how to proceed if parent/legal guardian cannot attend, see below.
2. Not less than one regular education teacher of the child (if the child is, or may be, participating in the regular education environment)
3. Not less than one special educator of the child, or when appropriate, not less than one special education provider of the child
4. A representative of the public agency (school district) who:
   a. is qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of children with disabilities;
   b. is knowledgeable about the general education curriculum; and
   c. is knowledgeable about the availability of resources of the public agency (school district).
5. An individual who can interpret the instructional implications of evaluation results, for example:
   a. A school psychologist will be able to interpret the results of an intelligence test such as the Wechsler Intelligence Scale for Children-5th Edition and the implications of the student's low working memory has in regards to his/her ability to remember

**BEST PRACTICE**

Provide parents with all documents such as assessment data, progress monitoring, checklists, and all other relevant data prior to attending the IEP meeting. If the parent requests an interpreter, a meeting with the interpreter may take place with all relevant data prior to the IEP meeting so the parent/legal guardian is adequately prepared for the meeting with concerns, comments, and questions.
complex or multiple step directions, the content of long text passages when answering reading comprehension questions, or recalling information that was presented in only one format.

b. A school's reading specialists can interpret the results of a set of universal screeners and reading assessments in order to inform the IEP team of the student's specific reading needs to determine how to design specialized reading instruction that addresses his/her skill deficit.

c. A speech-language pathologist will be able to interpret the results of a language evaluation, such as the Comprehensive Evaluation of Language Fundamentals-5th Edition, and implications of how language deficits impact educational performance in reading, writing, responding, and understanding instruction.

d. A student's general education teacher or a teacher qualified to teach the student, (i.e., English as a second language (ESL) teacher, special educator) will be able to provide data on academic progress through a variety of classroom assessments and daily observations, as well as provide information shared by parents. This team member can also provide knowledge of the student's language needs and understand cultural differences and how they impact language development.

e. At the discretion of the parent and/or the school district, other individuals who have knowledge or special expertise regarding the child, including related services personnel as appropriate.

   a. For example, depending on student needs, professionals may include one or more of the following: school psychologist, speech-language pathologist, Response to Instruction and Intervention (RTI) professional, literacy coach, instructional coach, school counselor, ESL instruction professional if the student is an EL, audiologist, occupational therapist, physical therapist, behavior specialist, or other professional such as a translator or physician, who has knowledge of the student useful for planning and developing an IEP. If the school district does invite such an individual to join the IEP team, it is the district who determines whether the individual has the requisite “knowledge or special expertise” about the child.

   b. The parent/legal guardian of the student has the right to invite individuals to participate in their child's IEP meeting. If the parent(s) choose to exercise this right and invite another member to participate on the IEP team, he or she must have knowledge or special expertise about the child's strengths and individual needs, and the party inviting the person—in this case, the parents—decides whether the individual meets that criterion.

f. When appropriate, a school counselor participates on the IEP team.

   a. School counselors may be providing direct or support counseling services to the student. Student support services can include consulting with school personnel or outside agencies to coordinate appropriate services for the student and their families.

   b. School counselors may also provide academic advising and programming assistance for students with special education needs. Students receiving the most intensive interventions outside of the regular education class require special consideration in
regard to their class schedule, especially in high school, to ensure that they will earn all required credits to graduate on time.

h. Whenever appropriate, the child with a disability attends and participates in their own meeting.
   a. If a student is under 14 years old, he or she is not legally required to attend the IEP meeting, but his or her participation is encouraged as appropriate (see page 10 for additional information on student participation.

   b. If a student is 14 years old or older, he or she is legally required to be invited the IEP.

Excusing a Member from an IEP Meeting

Certain members of the IEP team may be excused from an IEP meeting under specific conditions. These conditions will vary depending on whether the team member’s area of expertise is going to be discussed or modified in the meeting. The parent and the school system must both agree in writing that the member’s attendance is not necessary. Outlined below are the federal regulations in existence followed by most effective practices for ensuring full participation by all IEP team members.

IDEA 2004 Guidelines for Excusing an IEP Team Member

(i) ATTENDANCE NOT NECESSARY - A member of the IEP Team shall not be required to attend an IEP meeting, in whole or in part, if the parent of a child with a disability and the local educational agency agree that the attendance of such member is not necessary because the member’s area of the curriculum or related services is not being modified or discussed in the meeting.

(ii) EXCUSAL - A member of the IEP Team may be excused from attending an IEP meeting, in whole or in part, when the meeting involves a modification to or discussion of the member’s area of the curriculum or related services, if—
   (I) the parent and the local educational agency consent to the excusal; and
   (II) the member submits, in writing to the parent and the IEP Team, input into the development of the IEP prior to the meeting.

(iii) WRITTEN AGREEMENT AND CONSENT REQUIRED - A parent’s agreement under clause (i) and consent under clause (ii) shall be in writing.

If Parent Cannot Attend

There are instances when parent(s)/legal guardian(s) are unable to attend the IEP meeting. When this arises, it is required, and imperative, to ensure parent/legal guardian participation by using other methods of communication that are agreed upon by the school district and the parent(s)/legal
guardian(s). As an example, this can be accomplished by having individual or conference telephone call, or video conference calls.

IDEA does include provisions that permit a public agency to hold an IEP meeting without the parent(s)/legal guardian(s) in attendance (either in attendance or via alternative methods), but this may only occur if the public agency has been unable to confirm parent/legal guardian attendance and has documented all such attempts to do so. If the public agency has not been able to assure parent/legal guardian attendance, then the agency must keep a record of attempts to arrange a mutually agreed-on time and place, such as the following:

- detailed records of telephone calls made or attempted and the results of those calls;
- copies of correspondence sent to the parent(s)/legal guardian(s) and any responses received;
- detailed records of interpreters/translators offered to provide service during the meeting; and
- detailed records of visits made to the parent's/legal guardian's home or place of employment and the results of those visits.

**Component 1.3: Student and Parental Involvement**

**Student Involvement**
Promoting self-determination of children and youth with (and without) disabilities is a critical instructional focus across the lifespan (Wehmeyer and Field, 2007). Student involvement and leadership in the development of their IEP, as well as participation in the meeting, is widely accepted as an evidence-based practice and can be an opportunity to teach self-advocacy skills. While IDEA requires that the “public agency must invite a child with a disability to attend the child's IEP team meeting if a purpose of the meeting will be the consideration of the postsecondary goals for the child and the transition services needed to assist the child in reaching those goals (§300.320(b),” student participation prior to the age of 14 better prepares them for the transition process.

Meaningful student involvement in their IEP team meeting requires purposeful advanced planning and should be customized for the individual student based on their strengths and needs. To

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1 Individuals with Disabilities Education Act, 34 C.F.R. §300.322(c) - 300.328, (2004)

promote student involvement, teach students to be prepared to discuss the following components by practicing the following tips:

- Review the IEP with the student in advance.
- Inform the student of the format and length of the IEP meeting.
- Discuss specific ways the student can contribute to the conversation.
  - Welcome everyone to the meeting and lead introductions.
  - Share their strengths, interests, preferences, and needs.
  - Help to craft measurable postsecondary goals (MPGs).
  - Discuss what supports and services are most helpful.
  - Share ideas and reacting to the ideas of others.
  - Identify tasks and next steps to meet their goals.
  - Customize with templates, slide shows, and alternative communication.
- Practice student responses to common IEP team questions.
  - “What are my postsecondary goals?”
  - “What are my strengths?”
- Teach the purpose of the IEP and the common educational jargon.

**TIPS**

What if my student is nervous and has communication challenges?

- Develop a script for student to use during the meeting.
- Use pictures cards to help the student introduce the section of the IEP.
- Develop an agenda with the student.
- Use technology to support participation.
- Create a slideshow that allows the student to click through the agenda and important talking points.
- Record the student’s sections ahead of time and play them at the meeting.
- Utilize assistive/augmentative communication and meaningful participation.

**Parent Involvement**

Parent/legal guardian involvement is not only a requirement of the law but is considered **best practice**. Schools must give parent(s)/legal guardian(s) the opportunity to participate in meetings with respect to identification, evaluation, educational placement, and the provision of a **Free Appropriate Public Education (FAPE)** to their child. Parent(s)/legal guardian(s) will offer valuable input about their child's needs and learning styles that can greatly assist in the development of a meaningful IEP. Further, a strong, positive relationship between parent/legal guardian involvement and improved academic achievement has been found across families of all economic, racial/ethnic,
and educational backgrounds and for students at all ages.³

In order to foster a collaborative relationship between the child’s home and school, information must be readily shared and opportunities must be created to encourage participation. Schools must provide parent(s)/legal guardian(s) of students with disabilities regular progress reports so that parent(s)/legal guardian(s) can be as up to date on their child's performance. By staying informed on their child's progress on IEP goals and objectives, parent(s)/legal guardian(s) are better equipped to intervene and/or support that progress. Schools should give parent(s)/legal guardian(s) the opportunity and encouragement to share information concerning activities at home that could significantly affect the student’s progress at school.

The parent(s)/legal guardian(s) of a child with a disability has the right to fully participate in the educational decisions concerning their child. If a language barrier is present, then the parent(s)/legal guardian(s) are not fully participating. If the parent/legal guardian requires an interpreter at the meeting to ensure full involvement and understanding, the district has a duty to coordinate the necessary support ahead of time, so that arrangements can be made to have an interpreter present. This includes sign language interpreters. Whenever possible, the interpreter should be an individual familiar with the school district and/or familiar with special education policies and procedures.

Parent(s)/legal guardian(s) of homeless, migrant, and/or immigrant students are entitled to the same meaningful participation in their child’s education as every parent/legal guardian. Meaningful opportunities for parent(s)/legal guardian(s) participation would include the parent's/legal guardian's access to be involved in parent meetings and IEP meetings. This may mean that supports may need to be provided for the parent(s)/legal guardian(s) of homeless, migrant, and/or immigrant students. Students and their parent(s)/legal guardian(s) that fall into any combination of these categories are protected under the following federal laws.

Homeless Parent Involvement
According to McKinney-Vento.722 (g) (6)(A)(iv) parent(s)/legal guardian(s), the parents/guardians of homeless children and youths are to be informed of the educational and related opportunities available to their children and be provided with meaningful opportunities to participate in the education of their children. The team should work with the district homeless liaison to secure such transportation.

³ Anne T. Henderson and Karen L. Mapp, and Amy Averett, “A New Wave of Evidence: The Impact of School, Family, and Community Connections on Student Achievement,” (Austin, TX: National Center for Family & Community Connections with Schools, 2002).
Migrant Parent Involvement
The Migrant Education Program, authorized under Title I, Part C, of the Elementary and Secondary Education Act (ESEA), states that there are no funds available to provide transportation to migrant families although they often face a transportation barrier.

Immigrant Parent Involvement
The Migrant Education Program, authorized under Title I, Part C, of the Elementary and Secondary Education Act (ESEA) protects immigrant children and youths as does Title III. Title III of ESEA ensures that EL students, including immigrant children and youths, attain English proficiency and meet the same challenging academic content and achievement standards that other students are expected to meet. School districts must inform such parent(s)/legal guardian(s) about how they can be active participants in assisting their children to learn English, achieve at high levels in core academic subjects, and meet the same challenging state academic content and student achievement standards that all children are expected to meet (Title III, Section 3302(1)).

Providing Parents & Students with Notice of Meeting
IDEA requires that a school district, when convening an IEP meeting, sends the parent(s)/legal guardian(s) a notice of meeting that informs the parent(s)/legal guardian(s) who will be attending the meeting. The district must provide written notice to the child’s parents/guardians at least ten calendar days prior to a meeting, including when and where the meeting will take place, so that they have the opportunity to attend and participate.

The student should be provided with a notice of meeting if the purpose of the meeting will be the consideration of the child’s postsecondary goals and the transition services needed to assist him/her in reaching those goals. Furthermore, once the student has reached the age of majority (i.e., when a student reaches the age of 18), he or she will be provided with a notice of any IEP meeting.

IDEA’s provisions regarding parent participation state the following:
Each public agency must take steps to ensure that one or both parent(s)/legal guardian(s) of a child with a disability are present at each IEP team meeting or are afforded the opportunity to participate (34 C.F.R. §300.322). This includes the following:

- notifying parent(s)/legal guardian(s) of the meeting early enough to ensure that they will have an opportunity to attend;
- scheduling the meeting at a mutually agreed upon time and place 34 C.F.R. §300.322); and
- coordinating appropriate supports for full participation of non-English-speaking parent(s)/legal guardian(s) in their native language.

The public agency's notification to parent(s)/legal guardian(s) must include the following:
- purpose, time, and location of the meeting;
- participants;
- notice that parent(s)/legal guardian(s) and public agencies have the right to invite other people with knowledge or special expertise about the child, including related services
personnel as appropriate, and that the party inviting the individual makes the determination that the invitee possesses the requisite knowledge or special expertise regarding the child;
• notice that parent(s)/legal guardian(s) may request that the Part C service coordinator or other representatives of the Part C system be invited to attend the initial IEP meeting for a child previously served under Part C of the IDEA in accordance with 34 C.F.R. §300.321; and
• notice of the participation of a translator/interpreter, if needed.

Component 1.4: Parent Procedural Safeguards

Procedural safeguards are in place to ensure that the rights of children with disabilities and their parent(s)/legal guardian(s) are protected. Although the goal should always be to resolve disputes at the local level, sometimes situations require the assistance of persons not directly involved with the issues at hand. Parent(s)/legal guardian(s) who file an administrative complaint, request mediation, or request a due process hearing must submit their requests to the department's division of special populations.

IDEA requires schools to provide parent(s)/legal guardian(s) of a child with a disability a notice containing a full explanation of the procedural safeguards available under the IDEA and U.S. Department of Education and Tennessee laws and regulations.

A copy of the safeguards notice must be given to parent(s)/legal guardian(s) annually or when one of the following events takes place:
1. upon initial referral or parent(s)/legal guardian(s) request for evaluation;
2. upon receipt of the first state complaint under and upon receipt of the first due process complaint under in a school year;
3. when a decision is made to take a disciplinary action that constitutes a change of placement; and
4. upon parent request.  

This procedural safeguards notice includes a full explanation of all of the procedural safeguards available under IDEA5, including, but not limited to:
• Unilaterally placed children at private school and public expense
• State complaint procedures
• Consent for evaluation
• Procedural safeguards in Subpart E of the Part B regulations
• Confidentiality of information provisions in Subpart F

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4 Individuals with Disabilities Education Act, 34 C.F.R. §300.151(c) - 300.507, (2004)

**Native Language**

Per 34 CFR §300.29, native language—when used with an individual who has limited English proficiency (LEP)—means the following:

1. the language normally used by that person, or, in the case of a child, the language normally used by the child's parent(s)/legal guardian(s);
2. in all direct contact with a child (including evaluation of the child), the language normally used by the child in the home or learning environment.

For a person with deafness or blindness, or for a person with no written language, the mode of communication is what the person normally uses (i.e., sign language, Braille, or oral communication).

**Prior Written Notice**

Prior written notice is an important parental right that is also included in the IDEA. It is designed to give parent(s)/legal guardian(s) time to determine whether they are satisfied with the action(s) and or change(s) recommended by the IEP team. If the parent(s)/legal guardian(s) are not satisfied, they have the right to request a due process hearing. Prior written notice is given to the parent(s)/legal guardian(s) after the IEP meeting has occurred, but before the implementation of the change(s) that was/were recommended by the IEP team.

IDEA requires the IEP team to formally and logically accept or reject any suggestions or requests that an IEP team member makes. Under 34 C.F.R. § 300.503(a), the parent/legal guardian must be provided with a written notice whenever the school district:

1. Proposes to begin or change the identification, evaluation, or educational placement of a child or the provision of a free appropriate public education (FAPE) to a child; or
2. Refuses to begin or change the identification, evaluation, or educational placement of a child or the provision of FAPE to a child.

The parent/legal guardian must be provided with a description of the action(s) that the school district proposes or refuses to take, as well as an explanation of why the school district is proposing or refusing to take said action(s). For additional clarification, please see Memorandum in Appendix A.

The notice must be:

1. written in language understandable to the general public; and
2. Provided in the parent/guardians' native language or other mode of communication the parent/guardian uses, unless it is clearly not feasible to do so.

If parent/guardians' native language or other mode of communication is not a written language, the administrative unit must ensure that:

1. the notice is translated for the parent/guardian orally by other means in the parent/guardian's native language or other mode of communication;
2. the parent/guardian understands the content of the notice; and
3. there is written evidence that 1 and 2 have been met.
Component 2: Evaluations and Eligibility

In order to provide appropriate services and supports to students suspected of having an educational disability, the team must first appropriately evaluate and determine the presence of an educational disability and the way in which the disability adversely affects educational performance. This begins within the pre-referral process and extends through evaluation and eligibility determination.

All procedures and requirements governing the referral, initial evaluation, and re-evaluation of students with disabilities may be found on the Special Education website.

Component 2.1: Child Find Responsibilities

The Child Find mandate, included in the IDEA, requires schools to seek out, identify, and evaluate all youth (ages 3–22) with disabilities, whether or not they are homeless or enrolled in public or private school, regardless of the severity of their disability. In addition, Child Find responsibilities apply to students who are migrants, ELs, and those in correctional facilities (see 34 CFR § 300.111 for General Child Find regulations; 34 CFR § 300.131 for child find regulations regarding parentally placed private school children with disabilities).

Due to the impact of an educational disability, it is important that effective, ongoing efforts are made to inform the general public of the Child Find responsibilities placed upon school districts to locate all children who may need special services. In order to achieve the overall goal of locating and effectively serving all children in the state of Tennessee with potential disabilities that could impact learning, each school district is encouraged to develop a comprehensive approach that encompasses the following three components:

1. **Child Find**: Each district is encouraged to designate a Child Find coordinator whose duties include the development and implementation of effective, ongoing child find efforts within all of the schools operated by the district.

2. **Interagency Cooperation**: Staff in other agencies which serve children often have opportunities to interact with children and their families and gain insights that may not occur within the local school setting. Districts are encouraged to develop partnerships with all agencies in their geographic region which serve children.
   - **Interagency cooperation should include:**
     - homeless shelters
     - migrant tutor or recruiters
     - refugee resettlement agencies
     - preschools
     - private schools and homeschool collaboratives
     - residential settings
   - **Title X, McKinney-Vento Homeless Act states, “The IDEA requires that homeless preschoolers and all homeless children be included in the ‘Child Find’ process for**
early identification of special education needs. It is recommended that, when possible, the eligibility process for identifying special needs be expedited to avoid delays in services provided to eligible children caused by frequent mobility.”

3. **Public Awareness:** Effective school screening programs and collaborative working relationships with other agencies serving children will result in many children who have special needs being identified; however, these efforts may still miss some children who are in need of services.

### Public Awareness Tools and Strategies

<table>
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<tr>
<th>Types of media that may be effectively utilized in an awareness campaign:</th>
<th>Activities that may be helpful in implementing an awareness campaign:</th>
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</table>
| • letters to parent(s)/legal guardian(s)  
  o translated/interpreted if needed  
  • radio and television—public service announcements  
  o English and Spanish radio stations  
  • newspaper (human interest stories)  
  • posters  
  • brochures or flyers  
  • internet web sites  
  o approved forms of social media  
  • newsletters to school personnel and other agencies | • presentations at parent teacher association (PTA)/parent teacher organization (PTO) meetings, cultural centers, and other group meetings  
• migrant education family awareness in areas of higher migrant family residence  
• presentations at professional, civic, and community organizations  
• contacts with churches and other religious centers  
• contacts with physicians/health care providers  
• contacts with child care providers |

### Component 2.2: Prevention and Early Intervention

It is the responsibility of school districts to seek ways to meet the unique educational needs of all children within the general education program prior to referring a child to special education. Tennessee’s approach to teaching and learning is called RTI². The purpose of RTI² is to tailor instruction to meet the needs of all students and to provide additional supports when they are needed. By implementing RTI² with fidelity, school districts can provide differentiated instruction to students who are having trouble reaching benchmarks. Special education is the most intensive intervention that should be considered after schools implement Tiers II and III interventions and still see the need for specialized services.
**Pre-Referral Interventions**

Students who have been identified as “at risk” will receive appropriate interventions in their identified area(s) of deficit. These interventions are determined by school-based teams by considering multiple sources of academic and behavioral data.

One way the department supports prevention and early intervention is through multi-tiered systems of supports (MTSS). The MTSS framework is a problem-solving system for providing students with the instruction, intervention, and supports they need with the understanding there are complex links between students’ academic and behavioral, social, and personal needs. The framework provides multiple tiers of interventions with increasing intensity along a continuum. Interventions should be based on the identified needs of the student using evidenced-based practices. Examples of tiered intervention models include Response to Instruction and Intervention (RTI2), which focuses on academic instruction and support, and Response to Instruction and Intervention for Behavior (RTI2-B), which focuses on behavioral supports. Within the RTI2 and RTI2-B Frameworks, academic and behavioral interventions are provided through Tier II and/or Tier III interventions (see MTSS Framework, RTI2 Manual, & RTI2-B Manual).

These interventions are in addition to, and not in place of, on-grade-level instruction (i.e., Tier I). It is important to recognize that ALL students should be receiving appropriate standards-based differentiation, remediation, and reteaching, as needed in Tier I, and that Tiers II and III are specifically skills-based interventions.

It is important to document data related to the intervention selection, interventions (including the intensity, frequency, and duration of the intervention), progress monitoring, intervention integrity and attendance information, and intervention changes to help teams determine the need for more intensive supports. This also provides teams with information when determining the least restrictive environment needed to meet a student’s needs.

**Component 2.3: Referral**

Pursuant to IDEA Regulations at 34 C.F.R. §300.301(b), a parent or the school district may refer a child for an evaluation to determine if the child is a child with disability. If a student is suspected of an educational disability at any time, he or she may be referred by the student's teacher, parent, or outside sources for an initial comprehensive evaluation based on referral concerns. Pursuant to 34 CFR §§300.304-300.311, the use of RTI2 strategies may not be used to delay or deny the provision of a full and individual evaluation to a child suspected of having a disability under 34 CFR §300.8. For more information on the rights to an initial evaluation, refer to Memorandum 11-07 from the U.S. Department of Education Office of Special Education and Rehabilitative Services.

School districts should establish and communicate clear written referral procedures to ensure consistency throughout the district. Upon referral, all available information relative to the suspected disability, including background information, parent and/or student input, summary of interventions, current academic performance, vision and hearing screenings, relevant medical
information, and any other pertinent information should be collected and must be considered by the referral team. The team, not an individual, then determines whether it is an appropriate referral (i.e., the team has reason to suspect a disability) for an initial comprehensive evaluation. The school team must obtain informed parental consent and provide written notice of the evaluation.

Once written parental consent is obtained, the district must conduct all agreed upon components of the evaluation and determine eligibility within 60 calendar days of the district's receipt of parental consent.

**Parent Request for Referral and Evaluation**

If a parent/legal guardian refers/requests their child for an evaluation, the school district must meet within a reasonable time to consider the request following the above procedures for referral.

- If the district agrees that an initial evaluation is needed, it must evaluate the child. The school team must then obtain informed parental consent of the assessment plan in a timely manner and provide written notice of the evaluation.
- If the district does not agree that the student is suspected of a disability, it must provide prior written notice to the parent/legal guardian of the refusal to evaluate. The notice must include the basis for the determination and an explanation of the process followed to reach that decision. If the district refuses to evaluate or if the parent/legal guardian refuses to give consent to evaluate, the opposing party may request a due process hearing.

**Component 2.4: Evaluation**

Referral information and input from the child's team lead to the identification of specific areas to be included in the evaluation. All areas of suspected disability must be evaluated. In addition to determining the existence of a disability, the evaluation should also focus on the educational needs of the student as they relate to a continuum of services. Comprehensive evaluations shall be performed by a multidisciplinary team using a variety of sources of information that are sensitive to cultural, linguistic, and environmental factors or sensory impairments. The required evaluation participants for evaluations related to suspected disabilities are outlined in the eligibility standards. Refer to [department's Special Education Evaluation and Eligibility website](#) for more information related to eligibility standards and processes.

**Important Cultural Considerations for English Learners**

To determine whether a student who is an English learner has a disability, it is crucial to differentiate a disability from a cultural or language difference. In order to conclude that an English learner has a specific disability, the assessor must rule out the effects of different factors that may simulate language disabilities. The combination of data obtained from a case history and interview information regarding the student's primary or home language (L1), the development of English language (L2) and ESL instruction, support at home for the development of the first language, language sampling and informal assessment, as well as standardized language proficiency measures should enable the IEP team to make accurate diagnostic judgments. One reason English learners are sometimes referred for special education is a deficit in their primary or home language.
No matter how proficient a student is in his or her primary or home language, if cognitively challenging native language instruction has not been continued, he or she is likely to demonstrate a regression in primary or home language abilities. According to Rice and Ortiz (1994)\(^6\), students may exhibit a decrease in primary language proficiency through:

- inability to understand and express academic concepts due to the lack of academic instruction in the primary language;
- simplification of complex grammatical constructions;
- replacement of grammatical forms and word meanings in the primary language by those in English; and
- the convergence of separate forms or meanings in the primary language and English.

These language differences may result in a referral to special education because they do not fit the standard for either language, even though they are not the result of a disability. The assessor must keep in mind that the loss of primary or home language competency negatively affects the student's communicative development in English. Consideration should be given to the use of an interpreter, nonverbal assessments, and/or assessment in the student's primary language.

In addition to understanding the second language learning process and the impact that first language competence and proficiency has on the second language, the assessor must be aware of the type of alternative language program that the student is receiving.

The assessor should consider questions, such as:

- In what ways has the effectiveness of the English as a second language (ESL) instruction been documented?
- Was instruction delivered by the ESL teacher?
- Did core instruction take place in the general education classroom?
- Is the program meeting the student's language development needs?
- Is there meaningful access to core subject areas in the general education classroom? What are the documented results of the instruction?
- Were the instructional methods and curriculum implemented within a sufficient amount of time to allow changes to occur in the student's skill acquisition or level?

The answers to these questions will help the assessor determine if the language difficulty is due to inadequate language instruction or the presence of a disability. Only after documenting problematic behaviors in the primary or home language and in English, and eliminating extrinsic variables as causes of these problems, should the possibility of the presence of a disability be considered.

It is particularly important for a general education teacher and an ESL teacher/specialist to work together in order to meet the linguistic needs of EL students. To ensure ELs are receiving appropriate accommodations in the classroom and for assessment, school personnel should consider the following when making decisions:

- Student characteristics such as:
  - Oral English language proficiency level
  - English language proficiency literacy level
  - Formal education experiences
  - Native language literacy skills
  - Current language of instruction
- Instructional tasks expected of students to demonstrate proficiency in grade-level content in state standards
- Appropriateness of accommodations for particular content areas

*For more specific guidance on English learners, click here.

Component 2.5: Eligibility

After completion of the evaluation, the IEP team must meet to review results and determine if the student is eligible for special education services. Eligibility decisions for special education services is two-pronged:

1. The team decides whether the evaluation results indicate the presence of a disability. and
2. The team decides whether the identified disability adversely impacts the student's educational performance such that he or she requires the most intensive intervention (i.e., special education and related services).

The parent/legal guardian is provided a copy of the written evaluation report completed by assessment specialists (e.g., psychoeducational evaluation, speech and language evaluation report, occupational and/or physical therapist report, vision specialist report, etc.). After the team determines eligibility, the parent/legal guardian is provided a copy of the eligibility report and a prior written notice documenting the team's decision(s). If the student has been found eligible as a student with an educational disability, an IEP is developed within 30 calendar days.

Evaluation results enable the team to answer the following questions for eligibility:

- Are both prongs of eligibility met?
  - Prong 1: Do the evaluation results support the presence of an educational disability?
    - The team should consider educational disability definitions and criteria referenced in the disability standards (i.e., evaluation procedures).
    - Are there any other factors that may have influenced the student's performance in the evaluation? A student is not eligible for special education services if it is found that the determinant factor for eligibility is either lack of instruction in reading or math, or LEP.
Prong 2: Is there documentation of how the disability adversely affects the student's educational performance in his/her learning environment?
  - Does the student demonstrate a need for specialized instruction and related services?
- Was the eligibility determination made by an IEP team upon a review of all components of the assessment?
- If there is more than one disability present, what is the most impacting disability that should be listed as the primary disability?

**Component 2.6: Timeline Extension Requests**

According to 34 C.F.R. §300.301(d) and (e) and 34 C.F.R. §300.309(c), an extension of the evaluation timeline may only be requested in the following instances:

- a review of the existing data, including input from the child's parent(s)/legal guardian(s), indicates that more time is needed to adequately determine the student's response to intervention and the presence of a specific learning disability;
- the parent/legal guardian of a child repeatedly fails or refuses to produce the child for the evaluation; or
- the child enrolls in another school district after the timeframe has begun and a determination of eligibility was not completed by the school district that commenced the initial evaluation process, the succeeding district is making sufficient progress to ensure completion of the evaluation, and the parent/legal guardian and the succeeding district agree on a specific timeframe within which the evaluation will be completed.

Timeline extensions cannot be granted due to scheduling issues. For additional clarification, see Memorandum in Appendix B

**Component 2.7: Re-Evaluations**

A re-evaluation must be conducted at least every three years or earlier if conditions warrant. Re-evaluations may be requested by any member of the IEP team prior to the triennial due date (e.g., when teams suspect a new disability or when considering a change in eligibility for services). This process involves a review of previous assessments, current academic performance, and input from a student's parents, teachers, and related service providers, which is to be documented on the Re-evaluation Summary Report (RSR). The documented previous assessments should include any assessment results obtained as part of a comprehensive evaluation for eligibility or any other partial evaluation. Teams will review the RSR during an IEP meeting before deciding on and obtaining consent for re-evaluation needs. Therefore, it is advisable for the IEP team to meet at least 60 calendar days prior to the re-evaluation due date. Depending on the child's needs and progress,
re-evaluation may not require the administration of tests or other formal measures; however, the IEP team must thoroughly review all relevant data when determining each child’s evaluation need.

Some of the reasons for requesting early re-evaluations may include:

- concerns, such as lack of progress in the special education program;
- acquisition by an IEP team member of new information or data;
- review and discussion of the student's continuing need for special education (i.e., goals and objectives have been met and the IEP team is considering the student's exit from his/her special education program); or
- new or additional suspected disabilities (i.e., significant health changes, outside evaluation data, changes in performance leading to additional concerns).

The IEP team may decide an evaluation is needed or not needed in order to determine continued eligibility. All components of The RSR must be reviewed prior to determining the most appropriate decision for re-evaluation. Reasons related to evaluating or not evaluating are listed below.

**Evaluation is NOT needed:**

- The team determines no additional data and/or assessment is needed. The IEP team decides that the student will continue to be eligible for special education services with his/her currently identified disability/disabilities.
- The team determines no additional data and/or assessment is needed. The IEP team decides that the student will continue to be eligible for special education services in his/her primary disability; however, the IEP team determines that the student is no longer identified with his/her secondary disability.
- The team determines no additional data and/or assessment is needed. The student is no longer eligible for special education services.
- For out-of-state transfers: The team determines additional data and/or assessment is needed when a student transferred from out of state, because all eligibility requirements did NOT meet current Tennessee eligibility standards. Therefore, the IEP team decides that the student would be eligible for special education services in Tennessee with their previously out-of-state identified disability/disabilities while a comprehensive evaluation to determine eligibility for Tennessee services is conducted.

**Evaluation is needed:**

- The team determines no additional data and/or assessment is needed for the student’s primary disability. The IEP team decides that the student will continue to be eligible for special education services in his/her primary disability; however, the IEP team determines that the student may have an additional disability; therefore, an evaluation needs to be completed in the suspected disability classification area to determine if the student has a secondary and/or additional disability classification. In this case, the student continues to be eligible for special education services with the currently identified primary disability based on the date of the decision. The eligibility should be updated after the completion of the
secondary disability evaluation if the team agrees a secondary disability is present (this should not change the primary disability eligibility date).

- The team determines additional data and/or assessment is needed for program planning purposes only. This is a limited evaluation that is specific to address and gather information for goals or services. This evaluation does not include all assessment components utilized when determining an eligibility NOR can an eligibility be determined from information gathered during program planning. If a change in primary eligibility needs to be considered, a comprehensive evaluation should be conducted.

- The team determines an additional evaluation is needed to determine if this student continues to be eligible for special education services with the currently identified disabilities. A comprehensive evaluation is necessary anytime a team is considering a change in the primary disability. Eligibility is not determined until the completion of the evaluation; this would be considered a comprehensive evaluation and all assessment requirements for the eligibility classification in consideration must be assessed.

**Component 2.8: Transfer Students**

**In-State Transfers**

When a student transfers between districts within Tennessee (i.e., an in-state transfer), the team will need to review transferring records in a timely manner (e.g., meet within 10 school days of enrollment, create a new IEP within 30 calendar days) and provide comparable services from the date of enrollment until which time an IEP is finalized. If all components (e.g., evaluation criteria supporting eligibility, signed eligibility report, signed IEP, etc.) are provided to the new school district, the IEP team does not need to complete a new eligibility report, and the student’s eligibility date remains the same.

The IEP team should meet to:

- discuss the current IEP,
- determine if any changes need to be made based on current present levels of performance, and
- create a new IEP (i.e., an addendum or annual) to either:
  - adopt the current IEP,
  - revise the IEP, or
  - develop/implement a new IEP.

A prior written notice must be written documenting the team decisions.

If components are missing from an in-state transfer student’s records, the IEP team will complete the RSR to review existing evaluation data to determine what assessments are needed in order to make a decision concerning the student’s continued eligibility and need for special education services. Once assessments are complete, the IEP team will reconvene to determine the student’s eligibility for services and complete a new eligibility report.
**Out-of-State Transfers**

When a student transfers to a district in Tennessee from another state, the team will need to review transferring records in a timely manner, (e.g., meet within 10 school days of enrollment, create a new IEP within 30 calendar days) and provide comparable services of the current IEP from the date of enrollment until which time an IEP is finalized. The IEP team will complete the RSR to review existing evaluation data and determine whether these assessments are sufficient for determining the student's eligibility according to Tennessee state standards.

If an out-of-state transfer student's records are complete and sufficient for determining eligibility, the IEP team will complete an eligibility report. The referral date will be entered as the date the school district was made aware that the student previously received special education services, and the consent date will be entered as the date the parent(s)/legal guardian(s) consented to the file review for eligibility purposes (i.e., the date they signed the RSR). The IEP team should discuss the current IEP, determine if any changes need to be made based on current present levels of performance, and create a new IEP either adopting the current IEP or developing/implementing a new IEP. A prior written notice must be written documenting the team decisions.

If an out-of-state transfer student's records are not complete or sufficient for determining eligibility, the IEP team will indicate the assessment decision on the RSR. On the eligibility tab, the referral date will be entered as the date the school district was made aware that the student previously received special education services, and the consent date will be entered as the date the parent/legal guardian signed consent for additional assessments. The IEP team will generate and sign an “out-of-state transfer” eligibility report which provides eligibility during the initial evaluation timeline.

The IEP team should meet to:

- discuss the current IEP,
- determine if any changes need to be made based on current present levels of performance, and
- create a new IEP (i.e., an addendum or annual) to either:
  - adopt the current IEP,
  - revise the IEP, or
  - develop/implement a new IEP.

A prior written notice must be written documenting the team decisions.

When assessments are complete, the IEP team will reconvene to review evaluation results and determine eligibility according to Tennessee state disability definitions and standards. At this time, an eligibility report will be completed and signed to reflect the current eligibility date and disability or non-eligibility. If the student is found eligible, an amended IEP will also be created to reflect the eligibility date and data collected from the re-evaluation/assessment.

For additional clarification on IEP development, please refer to the Transfer Student Memorandum and Flowchart (Appendix C).
**Student Records for Homeless and Migrant Students**

Student records from other states and districts are to be available, according to the Every Student Succeeds Act (ESSA), for all migrant students on the Migrant Student Information Exchange (MSIX), the national migrant data. This database includes space for schools and districts to indicate whether or not the student has an IEP. Tennessee is obligated to provide this information when a student leaves a district in Tennessee, even if moving within the state. This information is shared with the state and then moved onto the national database via the Individual Student Record, which the district migrant contact is to complete. Each district in Tennessee is required to have an assigned migrant liaison who is trained on and has access to the MSIX, the national migrant database.

**Component 2.9: Unilaterally Placed Children**

Pursuant to IDEA Regulations at 34 C.F.R. §300.131, school districts must identify, locate, and evaluate all unilaterally placed private school children, including children who are unilaterally placed in a home-school program in the geographic area served by the district. If a unilaterally placed child is determined eligible as a child with a disability, the district is responsible for providing equitable services. This can be accomplished through one of the following:

- The student is enrolled in a district in order to receive special education and related services.
- The student remains in the unilateral placement and receives equitable services determined by a proportionate share through a “services plan” which may provide a limited amount of special education and related services as determined by the district.

The district has an obligation under 34 C.F.R. §300.131 and 300.303 (b), to conduct a re-evaluation while the student is attending a private or homeschool.

If a student with a disability who previously attended a private/home school returns to the school district, the district is obligated to convene an IEP meeting and develop an appropriate IEP for that child. If a re-evaluation was not conducted while the child attended a private or home school, the district must conduct a re-evaluation upon re-enrollment in public school. As with any re-evaluation, depending on the child's functioning, this may not require the administration of tests or other formal measures. However, the IEP team must thoroughly review all relevant data when determining each child's evaluation needs (OSEP Letter to Goldman, 2009).

**Component 2.10: Assessment Specialists**

Specific eligibility standards have been established for determining disability eligibility, evaluation procedures, and evaluation participants. The following is a list of assessment specialists who may be included in the assessment of children who are suspected of having a disability (per Tennessee's Rules and Regulations). A brief description of these specialists is also included.

**Audiologist:** Person holding a master's degree or doctoral degree in audiology and having American Speech-Language and Hearing Association certification (CCC-A) or Fellow of American Academy of
Audiology who is responsible for identification, audiological evaluation, and management of hearing impaired persons.

**Speech-Language Pathologist (SLP):** Specialist who diagnoses and facilitates the educational process by providing specific services to students with oral/facial anomalies, voice disorders, neurogenic disorders, neuromuscular disorders, phonological/articulation disorders, language disorders, and fluency disorders.

**Low Vision Specialist:** State-credentialed teacher with an endorsement in the instruction of students with visual impairments. This person is certified to conduct and/or interpret functional vision assessments.

**Orientation and Mobility Specialist:** Person qualified to provide evaluation and teaching services to blind or visually impaired students to enable those students to attain systematic orientation to and safe movement within their environments in school, home, and community; and instruction to students in the following: (a) to use spatial and environmental concepts of information received by the senses (i.e., sound, temperature, and vibrations) to establish, maintain, or regain orientation and line of travel (e.g., using sound at a traffic light to cross the street); (b) to use the long cane to supplement visual travel skills or as a tool for safely negotiating the environment for students with no available travel vision; (c) to understand and use remaining vision and distance low vision aids; and (d) other concepts, techniques, and tools.

**Ophthalmologist:** Medical doctor who specializes in the branch of medicine dealing with the structure, functions, and diseases of the eye and their correction.

**Optometrist:** In Tennessee, this licensed specialist can determine the degree of visual impairment, if any, and perform many of the same practices as an ophthalmologist, excluding surgery.

**Occupational Therapist:** Tennessee Health Related Boards practitioner licensed to screen, evaluate, plan and provide occupational therapy. Occupational therapy addresses the physical, cognitive, psychosocial, sensory-perceptual, and other aspects of performance in a variety of contexts and environments to support engagement in occupations that affect physical and mental health, well-being, and quality of life (AOTA Model Practice Act, April 2011).

**Physical Therapist:** Tennessee Health Related Boards practitioner licensed to examine, evaluate, and provide interventions to students with mechanical physiological and developmental impairments, functional limitations, and disability or other health and movement related conditions in order to alleviate impairments and functional limitations by designing, implementing and modifying therapeutic interventions.

**Psychologist:** The licensed psychologist must hold a license issued by the appropriate licensing board in the state in which the child was determined disabled. In Tennessee, the licensing agency is The Tennessee Health Related Boards in Psychology. The licensed psychologist will hold the Psy.D,
Ed.D, or Ph.D. degree. He or she must be competent to evaluate students for special education eligibility. The ability to administer tests does not solely establish competence in evaluating exceptionalities or the potentially extensive needs of students.

**Psychological Examiner:** Licensed psychological examiner and licensed senior psychological examiner must also hold a license issued by the Tennessee Health Related Boards in Psychology. He or she will hold the M.A., M.S., M.Ed., Ed.S, Psy.D, Ed.D, or Ph.D. degree. The licensed senior psychological examiner must be competent to evaluate students in the suspected disability area. Prior to utilizing licensed personnel, it is important to consider the types of services to be delivered in relation to the person's training and experience.

**School Psychologist:** The school psychologist must be certified by the appropriate state agency in the state where a child was determined disabled. In Tennessee, the appropriate state agency for licensure and endorsement of the school psychologist is the State Department of Education. The licensed school psychologist must hold the M.A., M.S., M.Ed., Ed.S, Psy.D, Ed.D, or Ph.D. degree. He or she must be competent to evaluate students in the suspected disability area.

**Graduate Student in Psychology:** An exception to the three specialists identified above (psychologist, psychological examiner, and school psychologist) is services provided by a graduate student under the immediate supervision of one of these three specialists. This student must meet the following requirements:

1. The student must be working toward licensure with the State Department of Education in school psychology or enrolled in an internship leading toward licensure as a psychologist or psychological examiner.
2. The student must have completed all course work necessary to participate in an internship from his or her university's program.
3. Services provided must be part of a recognized field experience supervised by the psychology training program in which the student is enrolled.
4. The student must be under the immediate supervision of a State Department of Education licensed school psychologist, a licensed psychologist, or a licensed psychological examiner. This supervision must have the approval of the psychology program of the university in which the student is enrolled.

In addition to the student requirements listed above, the psychology training program in which the student is enrolled must provide the department with a list of its graduate students who are providing psychological services to an education agency. They must also provide documentation that the student meets the above requirements.

**Psychiatrist:** Holds a license issued by the appropriate licensing board in the state in which the certification was approved. In Tennessee, the licensing agency is the Tennessee Board of Health Related Boards. The licensed psychiatrist holds a M.D. degree and has the ethical responsibility for determining if his/her areas of expertise include the diagnosis and certification of the given exceptionality
Component 3: Narratives

Writing robust and descriptive IEPs sets the standard for a meaningful education for students with disabilities. Narrative summaries introduce the student and must be written in a way that provides a strong foundation for educational planning and instructional decision making. The practice of following a “stranger test” will assist another district/teacher in beginning instruction immediately given the details in the IEP. The stranger test refers to narratives, present level of educational performance, and goals for a student that are written in such detail that a person unfamiliar with the student could read the description, understand it, and feel prepared to implement the IEP without further resources being required.

Component 3.1: Student Strengths

Students bring many strengths to the classroom. When writing an IEP, the student's strengths should be relevant to supporting them as a learner and active participant in the school environment. It is important when describing the child's current strengths, abilities, and how he or she learns best, that it be written and delivered in positive terms. This information may come from a variety of sources including, but not limited to, student work samples, general education teacher input, special educator input, parent/legal guardian input, classroom observation data, behavior logs, and if appropriate, ESL teacher input.
Component 3.2: Parent Concerns
To ensure meaningful participation in the development of the IEP, parent/legal guardian concerns must be considered and reflected in the IEP. Input provided by the parent(s)/guardian(s) or any concerns they have for their child's current performance should be provided in a thorough narrative that is written using the parent's/legal guardian's words whenever possible. Information to be addressed in this section can include, but is not limited to, academic concerns, social and personal concerns, interactions with peers and educators, any relevant family information that may be affecting the child's performance at school, or concerns about an upcoming transition.

If the parent/guardian did not attend the meeting, attempts should still be made to include his/her input through questionnaires or discussions. If attempts to gain parent/legal guardian contact and input are unsuccessful, note the dates and ways attempts have been made. Do not leave this section of the IEP blank.

Component 3.3 Adverse Impact on Educational Performance
Describe how the child's disability affects his/her involvement and progress in the general curriculum. For preschool children, describe how the child's disability affects his/her participation in age-appropriate activities. This statement should describe the second prong of eligibility. It explains how the student's disability impacts them to a degree that it warrants the most intensive intervention (i.e., special education), as opposed to the myriad of other interventions offered in general education.

Component 3.4: Medical Information and Concerns
In this section, the IEP team documents any medical conditions that have been reported and/or that impact the student's education. All information included in a student's IEP, including noted medical conditions, are to be considered in the case of a manifestation determination.

Based on this medical information, if the team suspects the presence of another primary or secondary disability, the IEP team should initiate an evaluation for the suspected disability.

The medical information field must include a statement. If the student does not have any medical concerns at the present time, then a statement explaining this should be included.

Component 3.5: Consideration of Special Factors
The consideration of special factors is an opportunity for the IEP team to ensure that they have considered the whole child prior to designing the services to meet the student's needs.

The IEP team must also consider the peripheral skills or needs that are related to the student's disability or their access to instruction. Some of these may be a direct relationship, such as a student
needing an augmentative alternative communication system (i.e., a touch screen computer). Others, not related to the disability, must be considered in the design of the instruction, such as a student whose first language was not English.

1. Does the student have limited English proficiency (LEP)? If yes, what is his/her primary mode of language?
   - If a student has exited EL status, the student is no longer identified as LEP. Instructional concerns that may arise as rigor intensifies through the grade levels will need to be addressed through accessibility of content in the general education setting.
     - The IEP team can help plan and decide the accommodations necessary for classroom instruction and assessment, as well as the training that will be required to use the accommodations effectively in the general curriculum.
   - If the student is identified as LEP, the IEP team determines language needs as related to the IEP (e.g., collaboration, teacher training, and materials). The LEP identification and eligibility for testing accommodations are noted in both the IEP and LEP plan/documentation.

2. Is the student blind or visually impaired? If yes, does the student need instruction in Braille?
   - Is the student currently using Braille to access print?
   - Does the student need instruction in Braille?
   - Is the student reading print or Braille, or are they relying on technology? If yes, then a plan for learning how to read print or Braille should be considered to develop literacy skills including reading and writing.

3. Does the student have communication needs? If yes, what are they?
   - In determining the child's communication needs, the IEP team should ask:
     - What communicative demands and opportunities does the child have?
     - Does the child have the skills and strategies necessary to meet those communicative demands and take advantage of the communicative opportunities?
     - Can the child fulfill his or her need, and desire, to communicate in a variety of educational settings?
     - Does the child communicate appropriately and effectively, and if not, why?
     - How would the deficit in communication be defined?
**Considering Students’ Communication Needs**

Communication can be a special factor for children with a variety of disabilities. The ability to communicate one's wants and needs and interact with others are critically important skills in school and in life. For students whose disability has impacted their communication skills, individuals need to understand the causes of the communication needs, the impact of communication breakdowns, and what interventions will help the child learn the necessary skills.

For example, does a child with an emotional disability hesitate to speak due to anxiety? Does a child with autism not understand nonverbal communication cues? Does a child with a speech impairment need to improve articulation in order to be understood by others? If the answer to any of these questions is “yes,” it points to the need for specialized instruction or accommodation to be written in the child’s IEP.

4. Is the student deaf or hard of hearing? If yes, did the IEP team consider: (a) the student’s language and communication needs, (b) opportunities for direct communications with peers and professional personnel in the student’s language and communication mode, and (c) necessary opportunities for direct instruction in the student’s language and communication mode?
   - The student’s language and communication needs will include consideration of the following:
     - Does the student use sign language and what language (i.e., ASL, SEE)?
     - Does the student have access to academic instruction?
     - Does the student need communication supports to actively participate in the classroom discussions and learning?
   - Opportunities for direct communication with peers and professional personnel in the student’s language may include consideration of the following:
     - Is there a plan that provides opportunities independently or through the use of an educational interpreter to facilitate communication?
     - Are there opportunities for a student who uses sign language to communicate with other individuals who sign?
     - Are there opportunities for engagement with peers independently?
     - Are there any considerations in planning the classroom structure and seating to facilitate access and participation based on the communication needs?
   - Necessary opportunities for direct instruction in the student’s language and communication mode should include consideration of the following:
     - How will the student learn new vocabulary?
     - What does the student need to learn to actively participate in instruction?
     - What skills does the student need to learn to participate in social opportunities?
     - What skills does the student need to learn to increase independence?
5. **Is assistive technology necessary in order to implement the student's IEP?**
   - The team should ask:
     - What, if any, assistive technology devices or services does the student need to achieve her or his annual goals?
     - Does the student require special assistive technology to participate in the general education curriculum or environment?
     - Does the student need to learn new skill or improve skills to use assistive technology devices and/or services?
     - If special education services are needed to address the student's behavior needs, are the needs addressed in the IEP (e.g., in PLEPs, goals and services as appropriate)?

6. **Does the student's behavior impede his/her learning or that of others?**
   - If behavior is impeding a student's learning, it should be addressed regardless of the primary disability category.
     - Does the student have a FBA and/or a BIP?
     - What are the student's behavior goals (and objectives if applicable)?
     - Does the student have accommodations and/or modifications with regard to behavior?

7. **Does the student demonstrate cognitive processing deficits that impact his/her classroom performance and warrant consideration in the development of the IEP?**
   - Refer to the student's psychological evaluation and/or consult with a school psychologist to determine the presence of a cognitive processing deficit.
     - Cognitive processing deficit refers to deficits of mental processes, such as perception, memory, language, problem solving, and abstract thinking.
     - Examples of cognitive processing areas include: working memory, fluid reasoning, verbal comprehension, processing speed, auditory processing, long-term retrieval, etc.
   - Provide evidence of cognitive processing deficits.
     - Does the student demonstrate overall cognitive impairment based on his/her full scale IQ score or best estimate of cognitive ability?
     - Does the student demonstrate deficits in specific area(s) of cognitive processing within his/her cognitive profile?
   - Describe how the deficit impacts performance and demonstrate a need for accommodations.
     - Examples:
       - Working memory deficits may result in difficulties processing complex information in a typical amount of time compared to peers. Efforts to process information may feel draining and increase the likelihood of mistakes, even on simple tasks. Students may benefit from visually
presented in addition to verbally presented information, additional time to complete tasks, or chunking of work.

- Processing speed deficits can impair the ability to complete tests and assignments within usual time frames. Additional time may be needed on such tasks.

Component 4: Present Levels of Educational Performance (PLEP)

**Present Levels of Educational Performance (PLEP)** should clearly describe the student's current skills for each area identified and/or considered as exceptional in the evaluation, prior IEP, and team concerns. The PLEP should provide the foundation for the data-based decisions the IEP team is required to make with regard to a student's goals, supports, accommodations, modifications, and services for the next year. The PLEP provides the data and information necessary to link the identified areas of exceptionality to the skills deficits that will need to be addressed in the measurable annual goals. The PLEP also provides information for selecting appropriate interventions and supports and services, including LRE.

Student performance is a reflection of both the academic skills and non-academic skills that a student has mastered or needs to master in order to become increasingly independent, and, ultimately successful in attaining his/her postsecondary and/or career goals.

In developing the IEP immediately following an evaluation, it is best practice to reflect all assessment data within the PLEPs in order to accurately express the areas determined exceptional, and as a result, the required MAG(s).

Additionally, the PLEPs provide information to all members of the team so everyone is informed and shares a common understanding. Ensuring all team members understand the student's current skill level in relationship to the expected level based on age and grade will equalize participation and create opportunities for rich, student-centered discussions.

**EXAMPLES OF DATA SOURCES**

- classroom or intervention observations
- evaluation data
- recent student performance on state and district wide assessment
- universal screening data
- relative English language proficiency data
- progress monitoring data (e.g., academics, behavior logs, checklists)
- progress monitoring data
**Component 4.1: Development of Present Levels of Educational Performance (PLEPs)**

The PLEP, written in positive terms without judgment, should:

- Identify the student's level of performance using current data
  - Include data from a variety of sources
  - Describe the student's current level of performance
    - Use the data to define skills mastered, in progress, and of concern
    - Reflect and describe any accommodations and/or modifications that were effective in supporting the student's access and participation in instruction
    - Identify instructional supports and services that were previously supportive and used by the student
  - Address why this current level is of concern

- Specifically describe the impact of each exceptional area on mastery of core content standards. (i.e., If the student has a specific learning disability in the area of math calculation, the statement should reflect how the student's progress toward grade-level standards in math is impacted.)
  - Explains why the student's current skill level is of concern in relation to their success in school both in accessing instruction and mastery of standards. In the case of giftedness, this includes an explanation of identified needs for learning beyond current grade-level standards.
  - Identify the impact the non-academic skill level has on the student's access and participation in general education classroom instruction.

**Please Note**

PLEPs describe previous and current student performance; however, they do not state what the accommodations, modifications, or services will be for the coming year. The determination of services occurs after the team has determined the Measurable Annual Goals, accommodations, and modifications.

**SIX STEPS TO WRITING A SOUND PLEP**

1. Bring current data (academic and non-academic) to the IEP meeting
2. Be very specific and make sure it is an accurate reflection on how a student functions consistently, not on a particular day (show a pattern)
3. Review current test scores, progress monitoring, and evaluation results prior to the meeting
4. Ensure understanding of the student's specific needs and current functioning levels
5. Write in positive terms
6. Use the “stranger test” to assess PLEP
**Component 5: Measurable Annual Goals**

**Component 5.1: Development of Measurable Annual Goals (MAGs)**

Measurable Annual Goals (MAGs) address a student’s specific area of deficit and will align to PLEPs. Interventions aligned to the goal will build/enhance foundation or prerequisite skills and strategies needed to access the general education curriculum.

MAGs focus on the knowledge, skills, behaviors, and strategies to address the student’s needs. A student’s individual needs relate to skill domains such as, but not limited to, reading, writing, mathematics, listening comprehension, oral expression, organization, physical development, motor skill, social skills, visual perception, auditory perception, inattention, and behavior. The goals in a student’s IEP relate to the student’s individual need for specially designed instruction to address the student’s disability needs and those needs that interfere with the student’s ability to participate and progress in the general curriculum.

**Present Level of Educational Performance (PLEP)**

**Measurable Annual Goal (MAG)**

**Measurable Annual Goals are:**

- the pathway to address skills acquisition—not the curriculum standard—that will enable the student to be involved and make progress in the general curriculum
- based on the individual needs of a student that result from the associated deficits of the identified disability
- directly linked to the exceptional area(s) of the PLEP
- very specific and detailed include criteria for mastery
- written using language that can be understood by parent(s)/legal guardian(s), educators, and/or related service providers (e.g., no acronyms)
- descriptions of what the student can reasonably be expected to accomplish in each area during the life of the IEP as a result of the provision of special education intervention and related services
- guides for instruction and intervention
• guidance for monitoring student progress on specific skills to determine if the interventions and services are effective

**Measurable Annual Goals are not:**
- a restatement of the student's grade-level academic standard(s)
- a list of everything the student is expected to learn in every curricular content area during the course of the school year
- reflective of areas not affected by the student's disability
- general concepts or ideas
- developed based solely on the student's disability label

The IEP team should develop **Measurable Annual Goals** that answer these questions:7

1. What specific skill(s), academic and non-academic, does the student need in order to master the content of the curriculum and close the gap identified in the deficit area?
2. What skills are required to demonstrate proficiency on assessed state standards?
3. For a student eligible as gifted, what is required to build on his/her strengths?

### Measurable Annual Goals at a Glance

<table>
<thead>
<tr>
<th>Condition</th>
<th>Name</th>
<th>Clearly Defined Behavior</th>
<th>Performance Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Describe the situation in which the student will perform the behavior (e.g., materials, settings, accommodations)</td>
<td>Student’s Name</td>
<td>Describe behavior in measurable, observable terms using stems from standards (i.e., what will he or she actually DO)</td>
<td>The level (how well?) the student must demonstrate for mastery: Number of times needed to demonstrate mastery (how consistently?) Evaluation Schedule (how often?) and method, (how measured?)</td>
</tr>
<tr>
<td>Given..., he/she will do this, this well, this many days/times, as measured this often, using this.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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Example Template:

Given ___ (condition/materials/setting/accommodation), ___ (student name) will ___ (do what / observable skill/behavior in functional terms), ___ (to what extent/how well to determine mastery), ___ (# of times/frequency/how consistently), by ___ (how often), ___ evaluated/determined by ___ (measure).

Component 5.2: Short-term Objectives

Short term objectives are required for students who are assessed on an alternate assessment. The short-term objectives are required for each MAG and follow the same format: condition, behavior/skill, criteria, and measurement tool and schedule.

Measurable annual goals are larger skills that require the integration and synthesis of many smaller, more discrete skills. For students assessed on the alternate assessment, identifying these smaller skills within the short-term objectives assist the team in planning and delivering meaningful instruction, intervention, accommodations, and/or modifications in order to meet the larger goal. For example, a student who has deficits in expressive language, receptive language, and motor skills may have a measurable annual goal for adaptive behavior such as:

*When given a field of three picture symbols for preferred activities, Alison will select a symbol and then engage in the activity within five minutes with no more than one verbal prompt on 8 of 10 consecutive days.*
Given the student's complex needs, the mastery of this goal is impacted not only by her adaptive behavior skills of engaging with an activity but also her understanding on the picture symbols and ability to select the symbol. Therefore, the short-term objectives may be:

*When given picture symbols of preferred activities, Alison will match the symbol to the correct activity with 90 percent accuracy on 8 of 10 consecutive days.*

*When given a field of out to three choices, Alison will make a selection using gestures, pointing, or picture exchange no more than one verbal prompt for 90 percent of the opportunities on five consecutive days.*

As with MAGs, the focus of short-term objectives is on increasing access and participation and the skills within the objectives and may support multiple skills the student is working on beyond the goal to which they are aligned. Interlacing these skills in as many natural ways as possible within daily instruction and intervention will support the student's mastery and generalization.

**In summary,**

<table>
<thead>
<tr>
<th>Short-term objectives are:</th>
<th>Short-term objectives are not:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Skills that need to be directly taught</td>
<td>• Accommodations</td>
</tr>
<tr>
<td>• Student behaviors that demonstrate understanding and application of skills</td>
<td>• Interventions or programs of curriculum</td>
</tr>
<tr>
<td>• Separate skills required to meet the goal</td>
<td>• Projected timelines of mastery aligned to progress reporting</td>
</tr>
<tr>
<td>• Skills and behaviors that a student must master to achieve independence that are generalizable beyond the school setting</td>
<td>• Skills specific to the classroom or school setting only</td>
</tr>
</tbody>
</table>

**Component 6: Accommodations and Modifications**

**Component 6.1: Accommodations for Core Instruction, Intervention, and Assessment**

Accommodations provide equitable access during instruction and assessments and do not change the construct being assessed nor compromise the integrity or validity of the assessment or content. An accommodation is defined as a change in the routine conditions under which students access and participate in instruction and assessment. Accommodations change how the student is taught or expected to learn. Accommodations are intended to reduce or mitigate the effects of a student's disability; however, accommodations do not reduce learning expectations. Although accommodations do not change the construct intended to be measured by the assessment or the meaning of the resulting scores, they do provide equity and serve to level the playing field for students with disabilities.
The accommodations provided to a student on accountability assessments must be generally consistent with those provided for classroom instruction and classroom assessments. It is critical to note that although some accommodations may be appropriate for instructional use, they may not be appropriate for use on a standardized assessment. There may be consequences (e.g., not counting a student's test score) for the use of some accommodations during state assessments. It is very important for educators to become familiar with policies regarding accommodations during assessments.

<table>
<thead>
<tr>
<th>Accommodations should:</th>
<th>Accommodations should not:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• enable students to participate more fully in instruction and assessments and better demonstrate their knowledge and skills</td>
<td>• remove instructional content or standards</td>
</tr>
<tr>
<td>• be based upon individual student need, and not upon the category of disability, level of instruction, or program setting</td>
<td>• eliminate participation or opportunities within general education</td>
</tr>
<tr>
<td>• be aligned with and part of daily instruction</td>
<td>• be introduced only for high-stakes testing</td>
</tr>
<tr>
<td>• be provided on a regular basis during instruction</td>
<td>• be provided solely as a way to help ensure proficiency</td>
</tr>
<tr>
<td>• foster and facilitate independence for students, not dependence</td>
<td></td>
</tr>
<tr>
<td>• provide access not advantage</td>
<td></td>
</tr>
</tbody>
</table>

It is important to ensure that performance in the classroom and on assessment is influenced as little as possible by a student's disability. Providing effective accommodations during instruction and assessment is critical to achieving this important goal.

When determining appropriate accommodation use, IEP teams should consider the four areas below:

- **How the materials are presented?** For example, provide large-print or Braille, pre-teach vocabulary, or use media/technology
- **How the student responds?** For example, allow student to dictate to a scribe, use word processing for writing, or respond orally for formative tests
- **What is the environmental setting?** For example, allow student to work at a table instead of desk or in a group of two rather than four; dim lights in the classroom; test in a small group or a separate setting
- **What are potential changes for timing or scheduling?** For example, allow student to complete small portions of an assignment at a time, have extra time to complete a large
project, have choice of activity or work from two, or take the test in short intervals or at a specific time of day

**Component 6.2: Selecting Accommodations Based on Individual Student Need**

As part of each annual IEP meeting, accommodations will be considered and discussed individually for each content area, course, setting, and assessment. Any accommodation selected by the IEP team must directly relate to the impact of the student's deficit(s) and increase their access to core instruction and assessment. The IEP team should select the appropriate accommodations for each subject and/or course for the individual student as needs vary by content area. An accommodation does not eliminate the need for the student to continue to learn new skills in the identified deficit(s), but rather minimizes the impact of the deficit while learning the skills. For example, a student may require read aloud to access grade-level texts; however, this does not negate the need for the IEP team to consider additional interventions and supports. Each member of the IEP team must be informed of responsibilities related to implementing the student's IEP. Those responsibilities include selecting, administering, and evaluating accommodations during instruction and assessment. The team must select accommodations on the basis of the individual student need(s), and educators must use those accommodations consistently for instruction and assessment.

- **IEP teams should consider the following questions when determining instructional accommodations:**
  - Are the recommended accommodations related to the student's PLEPs?
  - Are the recommended accommodations necessary for access to the core instruction or assessment process?
  - Has the student previously been provided the recommended accommodations, and have they proven to be effective?
  - Will the recommended accommodations lessen the integrity of the core instruction or assessment?
  - How do the student's specific areas of deficit affect the achievement of grade-level content standards?
  - What specialized instruction and intervention (e.g., learning strategies, organizational skills, reading skills) does the student need to achieve grade-level content standards?
  - What accommodations will increase the student's access to core instruction by addressing the student's specific learning needs and reducing/mitigating the effect of the student's disability? These may be new accommodations or accommodations the student is currently using.
  - What accommodations are regularly used by the student during core instruction?
  - How does the use or elimination of accommodations affect the student's performance?
  - Did the student report that the accommodation was helpful in accessing and participating in class?
- Did the parent(s)/legal guardian(s) and/or school personnel report that the accommodation was helpful in accessing and participating in class?
- What difficulties did the student experience previously when using accommodations?
- Should the student continue to use an accommodation, are changes needed, or should the use of the accommodation be discontinued?
- How do multiple accommodations support or work against one another?

Please Note
If no accommodations are being considered for the student, the informational fields must be completed with a statement explaining that the IEP team has considered the accommodations but none are required at the present time.

In some cases, the accommodations used in instruction may not be allowed on a test because they would invalidate the results of the test (i.e., when the performance no longer reflects what the test was designed to measure). In these instances, teachers should be sure to adequately inform the parent(s)/legal guardian(s) and student of the assessment guidelines and accommodation limits.

- Additionally, IEP teams should consider the following specifically for large-scale assessments:
  - IEP teams must consider and discuss accommodations individually for each state assessment mandated for the student’s grade level and should not broadly assign accommodations across all assessments.
  - Students should receive the accommodation they need in order to participate in the assessment but should not be given more accommodations than are necessary to participate meaningfully.
  - Accommodations are not to be used to compensate for a student's lack of knowledge and skills.
  - Students need opportunities to learn what accommodations are most helpful for them in day-to-day classroom instruction and assessment, as well as on large scale assessments.
  - The more input students have in selecting an accommodation, the more likely they are to use that accommodation.
  - The use of any accommodation must be considered in light of the student's disability and must be necessary for the student to access the assessment due to his/her disability.
  - Schools must not provide accommodations solely as a way to help ensure proficiency on the assessment. The team must be sure that the accommodation(s) recommended for each student are providing access, not advantage.
If no accommodations are being considered for the student, the informational fields must be completed with a statement explaining the IEP team has considered the accommodations but none are required at the present time.

Not all accommodations available within the classroom for a student are allowed on large scale assessment. For guidance on allowable and special accommodations, visit the Tennessee Department of Education's [accommodations webpage](#) and review the *Accessibility Guide*.

**Component 6.3: Unique Accommodations**

If the instructional accommodation is more permanent in nature and is not permitted on a state assessment, decision makers should consider whether the accommodation alters what the test measures. If, after considering these steps, the appropriateness of using an accommodation is not clear, contact district or state personnel about its use, or apply for a Unique Adaptive Accommodation.

Requests regarding accommodations not listed in [accommodations for students with disabilities](#) will be reviewed on a case-by-case basis using the [Unique Adaptive Accommodations Request Form (UAARF)](#). All Unique Adaptive Accommodations Request Forms should be received by the department no later than one month prior to the assessment(s) to which they apply.

- **IEP teams should consider the following guidelines when determining the need for a Unique Adaptive Accommodations Request:**
  - The unique accommodation must be currently documented in the student's IEP.
  - The unique accommodation does not have to be documented on the current Allowable/Special Accommodations section, but a copy of the approved UAARF should be attached to the IEP.
  - The accommodation must be used for the purpose of student access to the general education curriculum.
  - The accommodation shall be age appropriate, related to the student's disability, and must meet the individual instructional needs of the student.
  - The accommodation must be part of the student's regular instructional program and used consistently throughout the school year for all related classroom assignments and tests. The accommodation may not be introduced for the first time on an assessment.
  - The student must be proficient in the use of the accommodation.
  - Any accommodations that change the standards measured by altering the number of items/choices on the test or simplifies the language used on the assessment will not be considered.
  - *In rare cases, accommodations may be needed for students who are not receiving services under special education or Section 504 (e.g., a short-term medical problem). For these students, UAARFs should be submitted to the department for review and approval as soon as need is indicated.*
Component 6.4: Modifications to Core Instruction, Intervention, and Assessment

A modification is a change to the content of the standard, which will mean identifying standards that are fundamentally related but also developmentally appropriate. Modifications change what the student is taught or expected to learn. The least dangerous assumption would be that students are able to participate within the core curriculum without modifications unless student performance data indicates otherwise.

For many students with disabilities, making modifications to the content of the standard is an appropriate support. Understanding the difference between the two types of instructional supports for students with disabilities is crucial for all educators. Further, it is imperative that educators and administrators are informed about the types of information to consider when making decisions concerning accommodations and/or modifications. The IEP team should also consider the long-term ramifications of modifications to content. For example, modifications can impact grades, diploma options, course work, and/or LRE.

Students must meet the eligibility requirements to be assessed using the alternate. For further information on eligibility, please refer to the http://tn.gov/education/topic/alternate-assessment.

Component 6.5: Determining Participation in State Assessments

The IDEA and Title I of the Every Student Succeeds Act (ESSA) require that all students with disabilities be included in state assessment programs. The prohibition against exclusion from participation or denial of benefits to, or discrimination against, individuals with disabilities contained in section 504 of the Rehabilitation Act and Title II of the Americans with Disabilities Act applies to state assessment and accountability systems. In addition to state assessments, IDEA requires that all students with disabilities participate in district-wide assessment programs and that alternate assessments be provided for students with disabilities who cannot participate in grade-level assessments, even with accommodations.

Students with disabilities enrolled in Tennessee public schools must participate in the assessment system in one of the following ways:

1. General grade-level assessment (TNReady), with or without accommodations
2. Alternate assessment based on alternate academic achievement standards

IEP teams must first consider student participation in the general grade-level assessment, with or without accommodations, before considering student participation in an alternate assessment. Students with disabilities who are not able to show what they know and can do on the general grade-level assessment, even with appropriate accommodations, must be assessed with an alternate assessment.
Non-Participation of Students with Disabilities in the Tennessee Assessment Program
Neither the IEP team nor the 504 committee can make decisions for non-participation in state assessments. If the parent/legal guardian requests non-participation in a particular assessment, an IEP team must convene to explain the consequences associated with non-participation.

- **The potential consequences of non-participation are as follows:**
  - Teachers, parent(s)/legal guardian(s), and the student will not receive information on student progress contained in the assessment score reports.
  - If the parent/legal guardian decides on non-participation in the Tennessee assessment program, the decision will be considered a refusal to participate, and the student, school, and school district will receive a score of 0 for that test.
  - Student would be subject to school's attendance policy for a student's failure to participate in a mandatory activity at school.

Please review the opt-out memo from March 2016 ([here](#)).

**Component 6.6: Assistive Technology Devices and Services**
The IEP must describe any assistive technology devices and/or services needed for the student to benefit from education, including whether the use of a school-purchased assistive technology device is required to be used in the student's home or in other settings in order for the student to receive a free and appropriate public education (FAPE).

- **An assistive technology device** is any item, piece of equipment, or product system, whether acquired commercially, modified, or customized, that is used to increase, maintain, or improve the functional capabilities of a student with a disability. Assistive technology devices can range from "low technology" items like pencil grips, markers, or paper stabilizers to "high technology" items such as voice synthesizers, Braille readers, or voice-activated computers.

- **Assistive technology service** is any service that directly assists a student with a disability in the selection, acquisition, or use of an assistive technology device (e.g., communication switches, eye gaze, adaptive seat or desk, positioning device).

When a student needs an assistive technology device or service, the IEP team should consider what instruction the student might require for use of the assistive technology device, as well as any supports and services the student and/or the student's teachers may need related to the use of the device including training, programming, and device maintenance.

Section 504 of the Rehabilitation Act of 1973 and Title II of the American Disabilities Act of 1990 provide that: “No otherwise qualified individual with handicaps in the United States...shall, solely by reason of his/her handicap, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.” The
IDEA states that all students with disabilities must be included in state, regional, and district large-scale assessments, with results from assessments reported and findings aggregated with the total school population. As a reminder, students with disabilities enrolled in Tennessee public schools must participate in the assessment system in one of the following ways:

1. General grade-level assessment (TNReady), with or without accommodations
2. Alternate assessment based on alternate academic achievement standards

This means there can be no exemptions from state assessments.

**Component 6.7: Accommodations for Career & Technical Education (CTE) Safety Examinations**

Several CTE courses require students to complete a safety examination with 100 percent proficiency in order to participate in the course. This is most relevant in hands-on, laboratory-based courses that are focused on development of technical skills related to particular machinery, equipment, procedures, and Occupational Safety & Health Administration (OSHA)-related health and safety practices.

It is important for these courses, just as it is with all CTE courses, that students are not denied access, nor discouraged from enrolling in these courses, based on a specific disability alone. Safety examinations must not be used as a barrier for entry for students with disabilities; however, it is important to consider the best fit for the student and the course.

If a student with disabilities is interested in a CTE course with a safety examination requirement, consider the following:

- As with all courses, CTE instructors and special educators should both be involved in assisting students with appropriate course selection and the development of the IEP course of study, based on course standards, students’ interests, and abilities.
- All students have access to CTE and are not prevented from participating based on the safety test.
- CTE instructors should be aware of, and trained in the use of, the accommodations for a specific student outlined in their IEP. Accommodations can and should be employed during the safety content instruction and examination of students.
  - CTE instructors should differentiate appropriately for students with disabilities when presenting safety instruction and new material.
  - CTE instructors should employ appropriate accommodations listed in a student’s IEP when testing students on safety material.
  - CTE instructors should understand all appropriate ways students with disabilities can demonstrate knowledge of safety material other than a written examination. This demonstration should be in accordance with accommodations outlined in the IEP and should be reflective of all of the content covered on a written exam. If a CTE
instructor is unfamiliar with alternate testing methods, they should coordinate with the special educator of record to determine the best approach.

- CTE instructors should not modify content of safety examinations or change expectations of proficiency for students with disabilities.

Please Note
It is imperative that all students engaged in a CTE course are properly instructed with the knowledge and skills needed to be able to safely and effectively operate equipment, control machinery, and practice procedures without putting themselves and/or others at risk.
Component 7: Special Education Service Delivery

Component 7.1 Least Restrictive Environment (LRE)

All students are general education students first and must be provided access to core instruction. General education teachers are experts in core instruction and are essential members of a student's instructional team. The general education curriculum is the full range of courses, activities, lessons, and materials routinely used by the general population of a school. Access to the core curriculum is defined by active engagement in learning the content and skills being taught to all students.\(^8\) To participate with success in the general curriculum, a student with a disability may need additional supports and services, such as instructional supports for learning, accommodations, and/or modifications, scaffolding, or assistive technology and services.

The LRE for students is the setting in which special education services and supports will be provided to the student.

“Least restrictive in legal terms, is that environment with the most access to nondisabled peers; not as some educators believe, the environment in which it would be the easiest to teach the desired curriculum or behaviors. IDEA 34 CFR 300.114, 20 USC 1412(a)(5), since its inception, has been based in RTI logic. That is, data that demonstrates non-responsiveness to a lower tier of intervention is required prior to consideration of changing environments or adding interventions.”\(^9\)

IEP teams should begin the LRE discussion by considering the general education classroom setting. If the evidence and data supports the need to select a more restrictive setting for that student (e.g., a student receives social skills and emotional regulation intervention for an hour a day within a special education setting), efforts should be made to consider skills necessary for the student to move toward a less restrictive setting following the IEP. The goal is for all students (K–12) to spend 80 percent or more of their day actively participating in general education to the maximum extent appropriate.

Please Note

It is necessary for the school district to make data-based decisions when determining a student’s LRE and should not assume a student requires a more restrictive environment, such as another setting or classroom assistance, without the data to support that decision.

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The “least dangerous assumption” states that in the absence of absolute evidence, it is essential to make the assumption that, if proven to be false, would be least dangerous to the individual. Considering general education first for all students, regardless of disability, is a critical component of the least dangerous assumption. Evidence and data collected should be discussed at each IEP meeting before making the determination that a student requires a more restrictive setting.\textsuperscript{10}

An LRE decision is about where a student will receive core instruction, not what the student is being taught. All students, regardless of disability, should be provided core instruction in English language arts and math.

LRE refers to a related set of requirements aimed at providing individuals with disabilities:

- the greatest interaction with children, youth, and adults without disabilities
- the appropriate education
- the special assistance needed for success in the general education setting
- LRE is not contingent on funding issues

This means that districts must educate students with disabilities in the regular classroom with appropriate aids and supports, referred to as “supplementary aids and services” to the extent appropriate. Not all students require a special educator within the general education setting during core instruction. Many students can access core instruction without a special education teacher or a paraprofessional. Less restrictive options should be considered when determining a student’s needs in core instruction and intervention related to a student’s area of deficit.

The “continuum of services” refers to the scope of services, ranging from the least intensive to the most intensive services and supports, which must be made available to students with disabilities within a school district so that they may be served in the least restrictive environment (LRE).

A continuum of services (Figure 1.1) is available at all levels from general education to special education with special education interventions being the most intensive. There are a range of interventions, specialized instruction, and related services that are determined by individual needs and are not defined by specific locations or programs. The desired outcome of the IEP is for students to progress to their highest possible level of independence and participation in general education instruction. Instructionally appropriate IEP goals will direct the student’s success toward independence.

**LRE Considerations**

All students receive core instruction. Intervention is provided in addition to, not instead of, core instruction. There are many factors for the IEP team to consider regarding the student's LRE for each portion of the school day.

**IEP teams should consider the following questions when determining a student's LRE:**

1. How much of the core instruction (i.e., English language arts and math) is the student receiving in general education?
2. What accommodations and/or modifications does the student require to be successful in the general education setting?
3. Is it possible for these accommodations and/or modifications to be provided within the general education setting?
4. What supports are needed to assist the teacher and other personnel in providing these accommodations and/or modifications?
5. How will receipt of special education services and activities in the general education setting benefit this individual?
6. How much of the core instruction will the student miss when leaving the general education setting? How will this instruction be delivered so that the student with a disability is receiving comparable core instruction to his/her peers?

7. Why does the student need to receive instruction outside of the general education setting? (i.e., intervention toward IEP goals, present levels compared to grade level expectations, meeting student need)

8. Can the student be served in the school that he or she would attend if nondisabled? If not, can the student be served as close to his/her home as possible?

**IEP teams should make LRE decisions based on the following:**
- characteristics and needs of the individual student
- goals, objectives, and services required to address the student needs
- supplementary aids and supports needed by the student
- general education curriculum
- intervention implementation
- educational benefit of each service and setting being considered for the individual
- academic opportunities and settings that nondisabled individuals experience
- non-academic and extracurricular activities in which nondisabled individuals participate

**IEP teams may decide one of the following outcomes for a student’s LRE:**
- A special education teacher or paraprofessional is needed to support the student within the general education curriculum to provide:
  - instruction,
  - scaffolds, and/or
  - accommodation instruction and implementation.
- A special education teacher is needed to consult with the student's general education teacher(s) to provide planning assistance, answer student-specific questions, and problem-solve difficulties within the classroom. However, if a student receives consultation services only, the IEP team may need to conduct a re-evaluation to determine if special education services are still appropriate.
- A special education teacher is needed to provide the most intensive intervention to address specific IEP deficits. Students may receive this level of intervention in one or more settings through one or more sessions a day
- Service delivery may be a combination of special education supports within the general education setting and specialized instruction within academic and non-academic portions of the school day. The location, frequency, and duration of each special education service is decided by the IEP team on an individual basis. Specific services may be for a portion of, or all of, the IEP year; however, the student should have at least one special education service throughout the annual IEP.
Component 7.2: Access to Career and Technical Education (CTE)
All students in high school should have the option to participate in CTE. CTE is a program of study in a career field that is a clearly defined, progressive sequence of courses grouped by knowledge and skills, including a rigorous set of standards. CTE courses are aligned with postsecondary and industry opportunities, and programs of study are grouped into nationally recognized career clusters and typically contain 3-5 courses.

The students may require accommodations, modifications, or direct support within the CTE setting from a special education teacher. The IEP team should address these needs in the IEP services and accommodations. The safety test must be provided according to the student's IEP and should not be used to prevent course participation (see component 6.7).

Component 7.3: Direct Services
Direct special education services can be provided in the general education or special education setting by certified or non-certified staff. Teachers/staff provide the services directly to students. These services include, but are not limited to:

- Speech and language therapy in the general education or special education setting
- Co-teaching, which is a delivery model which includes a certified general education teacher and a certified special education teacher delivering coordinated instruction in the general education classroom
- Paraprofessional support in the general education setting
- Pull-out services in the special education setting
- Deficit-based intervention services (see component 7.4)

Interventions are provided as a direct service to address students’ deficits as decided by the IEP team through the student's PLEPs and MAGs. A student may also need support with general education curriculum, perhaps homework assistance or additional practice; this would be considered a direct service, but not an intervention, because the content being taught is grade-level curriculum rather than skill-deficit gap closure. The frequency and duration of an intervention is an IEP team decision based on the needs of the individual at that time, including their current PLEPs and goals. However, since special education is the most intensive intervention, it must be more intensive than the intervention that a student would receive in Tier II or III of general education.

Intervention effectiveness should be monitored through progress monitoring assessments. As students begin to close the gap, attempts should be made to reduce the intensity or the restriction of the environment in an effort to move the student toward more successful participation in the general education classroom within core instruction. The goal for all special education services is to provide the instruction, supports, and accommodations needed for a student to grow and to attain desired postsecondary outcomes.
Component 7.4: Related Services
IEP teams may determine that related services are necessary for students to benefit from special education. These services typically include developmental, corrective, and other supportive services. Related services should support the special education program outlined in the IEP with a clear correlation between the related services and the IEP goals. Related services examples include, but are not limited to, the following:

- Behavioral health services
- Counseling services
- Hearing/auditory services
- Nursing services
- Occupational therapy
- Physical therapy
- Psychological services
- Recreation services
- School health services
- Work-based learning
- Aides in general education setting
- Sign-language interpreter
- Speech therapy
- Language therapy
- Vision services
- Orientation and mobility services

Component 7.5: Consultation
Consultation may be provided by a special education teacher, speech-language pathologist, and/or related service provider. It is considered to be a service that is delivered by certified staff to certified staff, with minimal services (if any) provided directly to the student.

Consultation may include, but is not limited to:

- Discussion on the implementation of the current IEP
- Review of current accommodations and progress on goals
- Implementation of behavior plan and/or behavior data
- Collaboration to revise/develop future IEPs

Component 7.6: Collaboration with General Education
General educators are expected to provide instruction to students with a broad range of learning, behavioral, and developmental differences. For this, schools rely on support services, such as special
education teachers and other professionals of varied backgrounds\textsuperscript{11} to work closely with one another, making collaboration a “crucial dimension to the planning, delivery, and evaluation of special education and related services... and a means to achieving inclusion.”\textsuperscript{12}

Carrea, Jones, Thomas, and Morsink define collaboration as “a mutual effort to plan, implement, and evaluate the educational program for a given student.”\textsuperscript{13} This collaboration, may occur throughout the school day during grade-level professional learning community (PLCs), weekly team planning meetings, monthly planning meetings, or during planning time.

**Collaboration may include, but is not limited to:**
- training teachers on accommodations and helping plan for use
- discussing progress and data
- assessing and adjusting instruction

**Component 7.7: Extended School Year**

Extended school year (ESY) is:
- a service provided to students, at no cost to the parent(s)/legal guardian(s), for whom extended school breaks are determined to be detrimental to a student’s progress;
- intended to maintain skills and/or behaviors so the student will not be spending additional weeks during the school year to return to the former level;
- data-driven, using multiple sources of student data;
- an IEP team decision about whether the services are necessary for the provision of FAPE;
- addressing MAGs, as designated for ESY on the IEP;
- provided by an educator that may or may not be the same special education teacher that works with the student during regular school days;
- provided outside the school days scheduled for all students, so it does not occur within the general education setting; and
- individualized according to student need.


Extended school year (ESY) is **not**:

- a compensatory program;
- determined by a specific disability;
- intended to assist students in making additional progress;
- guaranteed or denied for specific disability categories;
- decided unilaterally, in terms of necessity, duration, frequency, etc.; or
- automatically “renewed” at each annual IEP.

**BEST PRACTICE**

Teachers should share interventions and strategies for which the student is successful through collaboration prior to ESY and then following ESY to articulate the student's progress. This can assist in effective transition between the two teachers and ensure the intensity and fidelity of the intervention.

ESY may be determined necessary in situations such as the following:

- A student's level of performance on a skill or set of skills regressed to the degree that it takes an extended length of time to recoup the skills to the former level.
- A student was recently determined eligible for special education (or is new to the school or district) and is now showing growth in a skill but has not had sufficient time to show mastery (or progress monitoring from the prior placement is unavailable).
- A student's behavior is such that a break from routine or school support leads to behavior that is harmful to self or others or can cause regression in behavior to the degree that upon returning from the break; the student requires a more restrictive LRE than required before the school scheduled break.
- A student's physical health or needs are such that continuous intervention is required.

**Component 7.8: Transportation**

Transportation is also a related service and must be considered annually for a student. As with academic instruction, the goal is for students to participate with their peers to the maximum extent appropriate. If, however, the student is not successful within the general education setting (i.e., the bus offered to all who live on that route), an alternate, more restrictive setting (i.e., special education bus or transportation) may be selected by the IEP team. The amount of time that a student spends on the bus during the route should be as closely aligned to the time their peers spend on a bus to the maximum extent appropriate. This transportation is provided to families at no cost and is considered a portion of the student's school day and services. Therefore, if a student is suspended from the bus, it is considered a day of suspension and counts towards the 10 days allotted per student per school year before a manifestation determination is required.

The special education bus may also contain additional adult support from a paraprofessional or non-certified assistant hired by the district. The driver and paraprofessional should be trained in behavior modification strategies, health, and safety. The school system may contract transportation services from a private vendor if the necessary adapted transportation is not otherwise available.
Please Note
The bus drivers and paraprofessionals should be familiar with the student's medical and behavior needs, including strategies or tools that work within the school setting to keep the student safe.

Specialized transportation may be required because an individual uses a wheelchair or walker and is not able to access the general education bus. However, transportation may also be needed as a related service for reasons related to behavior, health, visual impairments, hearing impairments, or cognitive understanding of safety on the bus.

If a student requires specialized transportation, this must be provided for school-related trips such as but not limited to field trips, school overnights, marching band competitions, school club-related competition, etc. A school district must not require a parent/legal guardian to transport to any event or location that it provides transportation to for the student's non-disabled peers. It is solely the responsibility of the district.

Component 8: High-Quality Transition Planning

The transition plan is the road map that facilitates the movement from high school to postsecondary. Through a high-quality transition plan, IEP teams develop actionable steps to make the student's
postsecondary goals attainable. The educational school setting prepares students for career and college readiness as soon as they enter the school system.

In Tennessee, career-ready students are those who:

“...graduate K-12 education with the knowledge, abilities, and habits to enter and complete postsecondary education without remediation and to seamlessly move into a career that affords them the opportunity to live, work, and sustain a living wage.

To achieve these outcomes, students should have a clear understanding of their learning pathways from as early as middle school and possess academic and technical knowledge that can be exhibited successfully and consistently across settings and experiences. They must also possess employability skills exhibited through critical thinking, written and oral communications, collaboration, problem solving, work ethic, and persistence. With such knowledge and skills, students can pursue their career opportunities with confidence and be engaged citizens, positively contributing to their communities.”

The IDEA 2004 defines transition planning as a “coordinated set of activities for a child with a disability that is designed to be within a results-oriented process that is focused on improving the academic and functional achievement of the child with a disability to facilitate the child’s movement from school to post-school activities...”

Component 8.1: Guiding Principles

Strong Predictors of Postsecondary Success

- Move beyond compliance
  - View legal compliance as only the baseline for evaluating the services and supports you deliver.
  - Strive to go above and beyond the minimum requirements if it puts students with disabilities in a better position to achieve their goals.
  - Judge the quality of transition services against a student’s needs rather than against current regulations.

- Focus on student outcomes
  - The student’s attainment of the goals determines the effectiveness of the transition plan.
  - Frequently reflect on the postsecondary outcomes of your former students in order to refine the transition services being delivered.

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- The transition plans of students lay out a direct and reasonable path to their desired postsecondary outcomes.

- Use person-centered planning
  - Transition programming should be designed to meet students’ needs.
  - Students who are served under the same special education category should not be automatically presumed to have the same transition-related needs (e.g., All students eligible under gifted do not necessarily want to attend a four-year college or require dual enrollment).
  - Decisions about diploma options, postsecondary pathways, or other transition experiences should not be based on a disability label but instead decided student-by-student.
  - Transition plans are unique to each individual student.
  - Transition services at a school should be responsive to the diversity of students who attend that school.

- Focus on students’ strengths
  - Transition assessments should provide a clear picture of each student’s strengths in various domains of transition.
  - Educators should emphasize the development of strengths in those areas related to a student's future goals.
  - An accent on strengths (i.e., rather than deficits) should dominate how we talk about students with disabilities to employers and other community members.

- Foster self-determination
  - The transition goals of students with disabilities should truly reflect their own desires for their future.
  - Students should have an active and meaningful role in the transition planning process.
  - Skills that contribute to self-determination are actively taught throughout the curriculum rather than addressed incidentally.
  - Students should leave high school with the skills they need to make and act on important decisions in their lives.

- Hold high expectations
  - Transition planning should be marked by a spirit of optimism and an accent on possibilities.
  - Educators help students and families catch a big vision for their future early on in their schooling and share with them examples of what is possible with the right opportunities and supports.
  - Educators see every student as deserving of having a rich and fulfilling life in community.

- Promote inclusive practices
  - Become familiar with the full range of offerings your school and community have to support transition-age youth.
  - Build capacity among staff in your school to support students with disabilities in the breadth of available courses and programs.
  - Prioritize inclusive transition experiences over those that only include other students with similar disabilities.
- Make sure individualized supports are in place so students benefit fully from involvement in inclusive experiences.

- Develop strong partnerships
  - Become familiar with local agencies, organizations, and programs available to the students whom you serve.
  - Develop local partnerships that bring together all of the relevant stakeholders.
  - Work with others in collaborative ways that reflect a shared commitment to students.

- Adopt data-driven approaches
  - Make sure relevant data are regularly collected in order to determine whether students are making adequate progress toward their goals.
  - Involve other school staff in assisting with data collection and providing feedback on the findings.
  - Frequently reflect on the outcomes of the students you serve and making adjustments to your program in response.

- Start transition early
  - Transition begins in kindergarten. Each year of the student’s education should be preparing them for their postsecondary success.
  - Conversations take place across school levels focused on sequencing and aligning efforts to ensure seamless transitions across schools and into adulthood.

**BEST PRACTICE**

**Component 8.2: Student Involvement and Partnerships**

**Student Invitation to IEP Team Meeting**

A student must be invited to his or her IEP team meetings beginning with the IEP during which the student will turn 14 years of age. A student invitation should be created separate from the parent/legal guardian invitation. The student should be notified as soon as the meeting date is set; he or she should be afforded the same time consideration as a parent/legal guardian. A copy of the student’s invitation should be placed in his or her permanent file for documentation.

How teachers can adequately prepare the student to participate in the IEP team meeting:

- Inform parent(s)/legal guardian(s) of this requirement prior to the meeting and articulate the integral role it plays in preparing the student and family for a successful transition.
- Promote student engagement—explain the purpose of the IEP team meeting to the student.
- Utilize self-advocacy and self-determination assessments/materials to secure student input
- Role play IEP team meeting events so that students can become at ease being present in the meeting.
  - Select tasks for student to complete at the meeting so that they feel comfortable.
Hearing from a student directly carries much more weight than a faculty member reading assessment results.

- Allow the student to communicate through a variety of means—visual, textual or auditory.
- Secure information from students who choose not to participate at the meeting; however, teams should make every effort to encourage and support the student attending.

Additionally, parent(s)/legal guardian(s) and educators can familiarize the student with vocabulary and concepts that he or she will encounter. With the parent's/legal guardian's and the student's permission, pictorial/video examples of student work should be introduced and will likely generate conversation and participation. While this step is not a requirement, it lays the foundation for including the very person who is most affected by an IEP team decision: the student.

**Age of Majority**

When a student reaches the age of 18 (age of majority), the rights of the parent(s)/legal guardian(s) transfer to the student with a disability. Notification of the age of majority rule must be explained to parent(s)/legal guardian(s) and documented in the IEP. This information must be provided at least one year prior to the student’s eighteenth birthday. Young adults and their parent(s)/legal guardian(s) may have different ideas about the best steps to take to reach their postsecondary goals. There are multiple ways in which families approach the decision making process. These may include:

- Student led
- Supported decision making
- Conservatorship

Where there are concerns about the student's ability to participate in the process of educational decision making, school district personnel should continue to work closely with the parent(s)/legal guardian(s) to ensure that appropriate decisions are made and that the student has maximum opportunity to participate and lead their IEP team.

**Outside Agency Participation**

The IEP team should create connections for the student and their family with outside agencies that can provide service for the young person after they leave school. School staff should be knowledgeable about the services and policies of community agencies in order to invite the appropriate people.

**Outside agency representatives invited to the IEP meeting may include***:

- Tennessee Division of Rehabilitative Services (Vocational Rehabilitation)
- Career Center–Workforce Investment and Opportunities Act (WIOA)
- independent living center staff
- disability support staff from a postsecondary educational or technical school
- person knowledgeable about assistive technology
- person knowledgeable about financial benefits such as **supplemental security income (SSI)** and Medicaid or Medical assistance (MA)
- personal care or health care providers, including mental health care providers
- probation officer or teacher from a juvenile justice center
- leisure and recreation service providers
- transportation agency staff
- Child Advocacy Centers (CASA)
- The financial responsibility for meeting a student's transition goals are not meant to apply solely to the education system, but also to the agencies that the IEP team involves in meeting the transition objectives set out in the IEP

*Not an exhaustive list. Visit [www.transitiontn.org](http://www.transitiontn.org) for a more comprehensive list of supports and partnerships.

Each agency or service provider generally has a different set of criteria for eligibility and may have a waiting list for services. Part of transition planning can address the logistical issues and identify who families can call on for support and coordination. Best practice would be to identify a case manager for the family and other team members, in regard to the transition services, and to begin inviting outside agencies early in the transition process.

Agencies can only be invited with the consent of the parent/legal guardian or student who has reached the age of majority. Consent must be obtained each time a school district wishes to invite an outside agency representative to a meeting that will address postsecondary transition services. The parent/legal guardian or adult student must understand that the granting of consent is voluntary and maybe be revoked at any time.
Component 8.3: Age-Appropriate Transition Assessment

Transition Assessment

The purpose of transition assessment is to identify student preferences, interests, needs, and strengths (PINS). These assessments are a portion of the PLEP and inform transition planning, including MAGs. Transition assessment is an individualized, ongoing process that includes meaningful participation by the student and family. Age-appropriate transition assessments must include information about the academic achievement and functional performance of the student. Previous, pre-vocational assessment data can also be reviewed as a part of this assessment process to inform current assessment.

Transition assessment instruments and methods must be selected to help the student determine career interests, strengths, and aptitude for tasks related to student preferred employment, education, training, and independent living. Assessment must be individualized and can be both formal and informal. Assessments should be based on the skills the students will need to be successful in all life roles, and the supports they will need before, during, and after the transition to adult life, and include these areas:\(^16\):

- Functional academics: reading, math, grammar, spelling, communication skills, etc.
- Learning styles: best methods of instruction, decision-making skills, etc.
- Vocational aptitudes: mechanical/clerical/organizational/spatial skills and the ability to work with large tools and small tools
- Manual dexterity: gross/fine motor skills, manual/finger dexterity, eye-hand coordination, etc.
- Vocational interests: likes and dislikes for jobs, work site preferences, working conditions, etc.
- Daily living skills: budgeting, money management, transportation, shopping, etc.

Visit [http://www.transitiontn.org](http://www.transitiontn.org) for examples of age-appropriate transition assessments.

Transition assessments that take on a holistic approach have the following characteristics:\(^17\):

- Begin early
- Occur ongoing
- Address all domains
  - education/training
  - employment

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- independent living skills
- community living

- Incorporate multiple approaches
- Include multiple perspectives
- Involve the student
- Respect culture and linguistic differences
- Reflect real-life demand
- Focus on inclusion
- Inform annual and postsecondary goal development
- Readily understandable
- Designed age-appropriate
- Highlight strength
- Incorporate hands-on experiences
- Incorporate modifications and accommodations so that an accurate assessment is obtained

**Formal Assessments**

Formal transition assessment instruments must be valid and reliable. The transition assessment instruments chosen must be based upon the characteristics of the target population and the types of questions to be answered. Assessment questions, in turn, must be based on the needs, preferences, and interests of the students. A list of pertinent questions that should be answered before choosing a transition assessment instrument is as follows:

- Will the results be helpful to the teachers, student, parent(s)/legal guardian(s) and others in developing instruction programs?
- Are the tests reliable and valid?
- Do the tests compare the student’s performance to an appropriate norm group or criteria?
- Do the testing procedures require the student to perform in ways that accentuate their abilities as well as their needs?
- Are the tests written and administered in a language understandable by the student?
- Does the student have enough experience to relate to the situations presented in the test?

**Informal Transition Assessments**

Informal assessment tools are used to verify and supplement formal assessment data. As with formal transition assessment instruments, various types of formal and informal assessments are available to guide transition planning. The type of informal assessment instrument chosen will depend on the type of information that is being sought. Because informal assessments are more subjective, they may be given more than once and by more than one person to strengthen their validity. For example:

- interviews
- questionnaires
- observations
- interest inventories
- situational assessments
• curriculum based assessments

**Ongoing Assessment**
A student's preferred activities and interests typically change as he or she matures. Similarly, the student's desired postsecondary goals may change as the student and parent/legal guardian are informed of results of transition assessments, academic achievement, and functional performance. Additionally, a student's desired postsecondary goals may change as he or she develops career awareness and more varied life experiences. Work based learning (WBL) experiences—including community-based instruction and paid and unpaid employment—may further change postsecondary goals.

**Students with Significant Disabilities**
All students with an IEP receive transition services, including students with significant disabilities. Both formal and informal assessments should be administered in order to develop a comprehensive transition plan. Transition assessments should focus on identifying what skills are currently mastered and what skills are needed to be successful in these future environments. For example, if a student aspires to work within veterinary care and reside in a supported living home, the transition assessments should focus on identifying what skills are currently mastered (e.g., punctuality, computer skills) and what skills are needed to be successful in these future environments (e.g., transportation, budgeting, customer service). In addition to assessing the student appropriately, direct instruction should be provided to develop the student's skills to function as independently as possible in regard to employment, daily living, and community participation.

In order for students to adequately communicate their needs, preferences, and interests, IEP teams should consider input from the individuals they interact with them the most (e.g., parent(s)/legal guardian(s), siblings, teachers etc.).

**Component 8.4: Measurable Postsecondary Goals**

**Measurable Postsecondary Goals (MPGS)**
The transition plan, beginning with the IEP during which the student will turn 14, must include MPGs in the two required areas of education and/or training and employment.

The student's postsecondary goals must be a reflection of their strengths, interests, and preferences that are derived from the age-appropriate transition assessments. In other words, these are the goals the student hopes to achieve after leaving high school. Some students may have MPGSs in the optional areas of independent living skills and/or community involvement depending on their needs and the preference of the IEP team. Transition services are designed to support the student in achieving these goals.
Characteristics of Measurable Postsecondary Goals (MPSGs)\(^{18}\):

- Goal can be measured or observed
- Reflects the preferences, interests, strengths, and needs of the student
- Based on the results of the PLEP
- Identifies the goal, not the steps toward the goal
- Indicates the outcome is postsecondary
- Includes “will” statements; not “want” statements
- Achievement will not be attained until after the completion of secondary school
- Updated annually

**Measurable Annual Goals (MAGs)**

For the IEP in which the student will be turning 14, measurable annual goal(s) that address transition and are directly related to the student’s MPSGs must be developed. An effective practice is to write **triangulated annual goals**\(^ {19}\) that incorporate the student’s postsecondary goal(s), skill deficit(s), and industry standards.

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For example, a student's postsecondary goal is to work in pet grooming and she has an intellectual disability with deficits in, but not limited to, independent task initiation and persistence. A triangulated annual goal might look like this:

Given that Taylor wants to work in the pet grooming industry, when given a picture schedule with at least five steps, Taylor will complete the task with 90 percent accuracy and no more than one prompt as measured every other week by teacher data collection.

Another example, a student who is identified as intellectually gifted has a postsecondary goal of owning their own web design business. A triangulated annual goal might look like this:

Given that Jenise wants to own a web design business, when given a business plan Jenise will evaluate the plan to determine profitability and viability correctly (100 percent) using industry reporting standards and accounting tools for five different business plans.

These examples show direct correlation to their postsecondary goal, while working on skills needed to prepare them for postsecondary expectations.

**Component 8.5: Course of Study**

For students with an IEP, the focused plan of study will become the course of study on the IEP. It is a multi-year description of coursework to achieve the student's desired MPSGs, from the student's current year to the anticipated exit year. At a minimum, the course of study should identify the courses and functional skills that the student will need that relates directly to helping the student meet their postsecondary goals, as well as graduation diploma requirements. This description gives the student the opportunity to see the relationship between high school courses and achieving their MPSGs.

As students approach the end of middle school, preparations begin for movement to high school. Each student, including students with disabilities with the assistance of their family and school personnel, will develop a focused plan of study.

Prior to ninth grade, or age 14, all students will develop an initial four-year plan of focused and purposeful high school study. For students with disabilities, the plan of study must be developed within the IEP to be in effect when the student turns 14 (or younger, if determined appropriate by the IEP team). The plan will be reviewed annually and will connect the student's academic and career goals to school.
**State Board of Education Policy:**

0520-01-09-.12 DEFINITION OF INDIVIDUALIZED EDUCATION PROGRAM (IEP). Prior to the ninth grade or age fourteen (14) (or younger, if determined appropriate by the IEP team), all students will develop an initial four (4)-year plan of focused and purposeful high school study.

**Policy Implications:**

A. The student, parent/guardian(s), and faculty advisor or counselor will jointly prepare an initial four-year plan of focused, purposeful high school study.

B. An integral aspect of the planning process is the assumption that the student will be involved in some form of postsecondary education/training. The plan should contain information about career options and long-term goals supported by the plan through the courses to be taken in the eleventh and twelfth grades as well as courses to be taken at the postsecondary level.

C. The plan of study will be reviewed annually by the student and faculty advisor or counselor, and revised based on changes in the student's interests and career goals. Results of various types of assessments will also be used in adjusting the plan of study.

D. High school and middle grades faculty will collaborate in planning curriculum and the transition between middle grades and high school (Tennessee State Board of Education, 2013).

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**Component 8.6: Transition Services**

Transition services are a part of the coordinated set of activities, designed within an outcome-oriented process that promotes movement from school to achievement of MPSGs.

**Coordinated Set of Activities**

The IEP team will want to discuss and annually address the types of instructional activities, environments, and services the student will need in each area to meet the postsecondary outcomes. The statements of needed transition services and activities will need to be developed annually to enable the student to make progress towards their measurable postsecondary goals. While the services that are the responsibility of special education will be outlined in the student's annual goals and/or short-term objectives, the student's coordinated set of activities may include other persons and agencies—not just special education.

Partners/programs that can assist with transition services within the school (not exhaustive):

- School counselors
- General education teachers
- Career and technical teachers
- Special education teachers
- Related service providers
- Extracurricular activities
It is also important to involve partners outside the school. These partners may include other agencies that are likely to provide or pay for the services. IEP teams should include the parent/legal guardian and the student as active participants in this process. While the school cannot require parent(s)/legal guardian(s) to accept responsibility for providing a transition service, many parent(s)/legal guardian(s) want to be an active team member. The needed transition services will vary based on the individual characteristics and needs of each student; however, there are some critical issues surrounding transition for exiting high school students. For example, transportation, medical care and insurance, conservatorship/estate planning, interpersonal and social adjustment require consideration when addressing the primary transition service areas.

**Transition Service Examples**

The areas to be considered for transition services are as follows:
- Instruction
- Related services
- Community experience
- Employment and postsecondary living objectives
- Daily living skills
- Functional/Vocational evaluation

**Instruction** refers to formal instruction that takes place in the school, home, or community, including community-based instruction, academic and career/technical education courses, self-determination and self-advocacy training, and extracurricular activities.
- Personal finance
- Budgeting
- Food preparation
- CTE courses
- WBL
- Self-determination or self-advocacy courses

**Related services** are supportive services assisting with transition services in special education and beyond.
- Developmental, corrective, or other supportive service as required to assist a child with a disability to benefit from special education
- Assistive technology
- Occupational therapy assessment in the workplace
- Physical therapy assessment in the workplace (for ergonomic work station, safe mobility, access to facility, and emergency egress planning)

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• Behavioral counseling

**Community experience** are transition services occurring in the community, delivered by the school or other agencies, that provide students with the opportunity to practice skills in the actual settings in which they will be used.

• Participate in local recreation events
• Join the YMCA
• Shop for food or clothing at local retailers
• Observe a courtroom
• Visit the library
• Open a bank account at the local bank
• Obtain a driver's license or state ID

**Employment and postsecondary living objectives** are experiences provided by the school or other agencies that provide the education and training needed for a future career and important adult activities.

• Attend a career fair
• Meet with a military recruiter
• Practice filling out job applications and interviews
• File application for admittance to Vocational Rehabilitation and meet with counselor
• Memorize Social Security number
• Explore conservatorship procedures
• Develop a budget and practice various methods of bill payment
• Collect information about housing options
• Meet with social security benefits planner
• Visit adult service providers

**Daily living skills** are those required for day-to-day functioning.

• Prepare meals
• Keep body in optimal state of cleanliness
• Learn to use an alarm clock
• Learn to wear clothing appropriate for the season and for the occasion
• Communicate needs in an acceptable manner
• Laundry
• Ride a bus; follow a bus schedule
• Obtain a driver's license

**Functional/Vocational evaluation** is an assessment process focused specifically on providing information about job or career interests, aptitudes, and skills.

• Produce observable work samples
• Demonstrate safety skills in various home, school, and community settings
• Complete a picture career interest inventory
Accommodations: A change in how a student with a disability participates in the educational program. The key distinction between an accommodation and modification is that an accommodation does not alter what a student is expected to learn, only how the student participates in the learning activity. IDEA specifically references accommodations made to support participation in state- and district wide assessments (20 U.S.C. 1414 & 615(d)(1)(a) (VI)), but in practice, accommodations necessary to participate in assessments usually are needed for student to participate in the educational program as well. For example, a student may have an accommodation to have tests read aloud to him/her. This accommodation would be used not only during state- and district wide assessments but also in all classroom testing situations (daily quizzes, content-specific tests). Providing preferential seating in the classroom, repeating directions given to the class individually for a student, and allowing extra time to complete assignments, are all accommodations commonly provided students with disabilities.

Accuracy: A correct identification or answer. The number of correct divided by overall questions multiplied by 100 is the accuracy percentage for a specifically measured skill.

Activities: Academic and physical tasks that a student participates in.

Adaptive behavior: Includes the age-appropriate behaviors necessary for people to live independently and to function safely and appropriately in daily life. Adaptive behaviors include real life skills such as toileting, grooming, dressing, safety, safe food handling, school rules, mobility within the classroom, ability to work, money management, cleaning, making friends, social skills, and personal responsibility.

Age of majority: When a student reaches the age of 18 (age of majority), the rights of the parent(s)/legal guardian(s) transfer to the student with a disability. Notification of the age of majority rule must be explained to parent(s)/legal guardian(s) and documented in the IEP. This information must be provided at least one year prior to the student's eighteenth birthday.

Alternate assessment: IDEA requires that all students with disabilities participate in district-wide assessment programs and that alternate assessments be provided for students with disabilities who cannot participate in grade-level assessments, even with accommodations.

Articulation: Movement of articulators (including lips/tongue/teeth) to produce speech sounds. Articulation is judged through correct placement, manner, and voicing.

Assessment or evaluation: The testing and diagnostic processes leading up to the development of an appropriate IEP for a student with special education needs.
**Assistive technology (AT) device:** A device that includes any item, piece of equipment, or product system that is used to increase, maintain, or improve the functioning of individuals with disabilities. It may be purchased commercially off the shelf, modified, or customized. The term does not include a medical device that is surgically implanted, or the replacement of such a device. AT devices range from low tech, such as a magnifying glass to high tech, such as a computer that responds to touch and allows a child to communicate more effectively.

**Assistive technology service:** A service that directly assists in the selection, buying, designing, fitting, customizing, maintaining, repairing, replacing, and coordinating of AT devices. It also includes the training of students, teachers, therapists, and family members on the use and maintenance of the device.

**Audiologist:** A person holding a master's degree or doctoral degree in audiology and having American Speech-Language and Hearing Association certification (CCC-A) or Fellow of American Academy of Audiology who is responsible for identification, audiological evaluation, and management of hearing impaired persons.

**Augmentative alternative communication system (AAC):** A system that increases or improves the communication abilities of individuals with receptive or expressive communication impairments. The system can include sign language, graphical symbol systems, synthesized speech, dedicated communication devices, and computer applications. AAC technology spans a wide range of products, from low-tech picture boards to high-tech speech recognition programs.

**Autism:** A developmental disability, which significantly affects verbal and nonverbal communication and social interaction, generally evident before age three that adversely affects a child's educational performance. Other characteristics often associated with autism are engagement in repetitive activities and stereotyped movements, resistance to environmental change or change in daily routines, and unusual responses to sensory experience. The term of Autism also includes students who have been diagnosed with an Autism Spectrum Disorder such as Autism, Pervasive Developmental Disorder—Not Otherwise Specified (PDD-NOS) or Asperger's Syndrome when the child's educational performance is adversely affected. Additionally, it may also include a diagnosis of a Pervasive Developmental Disorder such as Rett's or Childhood Disintegrative Disorder. Autism may exist concurrently with other areas of disability. After age three, a child could be diagnosed as having autism if the child manifests the above characteristics. Children with Autism demonstrate the following characteristics prior to age three: (1) difficulty relating to others or interacting in a socially appropriate manner; (2) absence, disorder, or delay in verbal and/or nonverbal communication; and (3) one or more of the following: (a) insistence on sameness as evidenced by restricted play patterns, repetitive body movements, persistent or unusual preoccupations, and/or resistance to change; (b) unusual or inconsistent responses to sensory stimuli.

**Behavior intervention plan (BIP):** A plan that includes positive strategies, program modifications, and supplementary aids and supports that address a student's problem behaviors and allows the child to be educated in the Least Restrictive Environment (LRE).
**Best practice:** A method or technique that has been generally accepted as superior to any alternatives because it produces results that are superior to those achieved by other means or because it has become a standard way of doing things (i.e., a standard way of complying with legal or ethical requirements).

**Blindness:** Condition defined by lacking visual perception due to physiological or neurological factors.

**Career and technical education (CTE):** A program of study in a career field that is a clearly defined, progressive sequence of courses grouped by knowledge and skills, including a rigorous set of standards. CTE courses are aligned with postsecondary and industry opportunities, and programs of study are grouped into nationally recognized career clusters and typically contain 3–5 courses.

**Collaboration:** A purposeful educational relationship in which all parties strategically choose to cooperate in order to achieve shared or overlapping objectives.

**Communication:** The act or process of using words, sounds, signs, or behaviors to express or exchange information or to express your ideas, thoughts, feelings, etc. to someone else.

**Community experience:** Transition services occurring in the community, delivered by the school or other agencies that provide students with the opportunity to practice skills in the actual settings in which they will be used.

**Coordinated set of activities:** A set of activities/strategies that lead toward the achievement of the student’s measurable post-secondary goals and successful adult living.

**Course of study:** A multi-year listing of courses that the student will take in order to enable him/her to reach their postsecondary goals. When preparing the course of study/plan of study, graduation requirements should be taken into consideration.

**Critical thinking:** The process we use to reflect on, assess and judge the assumptions underlying our own and others ideas and actions. Sometimes referred to as convergent thinking.

**Cultural considerations:** Refers to everything that defines and distinguishes a person including ethnicity, gender, age, sexual orientation, language, values, goals, and life experiences.

**Daily living skills:** Skills required for day-to-day functioning.

**Deafness:** A hearing impairment that is so severe that the child is impaired in processing linguistic information through hearing, with or without amplification.
**Developmentally appropriate:** Teaching young children in ways that meet children where they are, as individuals and as a group support each child in attaining challenging and achievable goals that contribute to his or her ongoing development and learning.

**Due process:** A requirement under the Individuals with Disabilities Education Act (IDEA) that sets forth regulatory basis for a formal set of policies and procedures to be implemented by schools and districts for children in special education programs. Due process is intended to ensure that children with learning disabilities and other types of disabilities receive a free appropriate public education. The notice must be given in writing within 30 days. IDEA provides two methods for resolving disputes, mediation or fair hearing.

**Emotional regulation:** A child's ability to notice and respond to internal and external sensory input, and then adjust his emotions and behavior to the demands of his surroundings.

**Environmental setting:** Setting up accommodations in the educational environment.

**English as a second language (ESL):** An academic discipline/program that is designed to teach English learners social and academic language skills as well as the cultural aspects of the English language necessary to succeed in an academic environment; it involves teaching listening, speaking, reading and writing at appropriate developmental and proficiency levels with little or no use of the native language.

**English learner (EL):** A person who is in the process of acquiring English as an additional language and has a primary language other than English. Same as an English language learner (ELL) and federally the term is LEP for limited English proficient.

**Eligibility:** Eligibility decisions for special education services is two-pronged: (1) the team decides whether the evaluation results indicate the presence of a disability and (2) the team decides whether the identified disability adversely impacts the student's educational performance such that he or she requires the most intensive intervention (i.e., special education and related services).

**Evaluation:** The procedure used to determine whether a child has a disability and the nature and extent of the special education and related services that the child needs. The term refers to procedures used selectively with an individual child and does not include basic tests administered to, or procedures used with, all children in a school, grade, or class.

**Evaluation/re-evaluation report:** A summary of evaluation/re-evaluation results obtained in the process of collecting information to determine if the child is a child with a disability or continues to be a child with a disability. The report(s) will vary from student to student, depending upon the type of evaluation completed (i.e., psycho-educational evaluation, occupational or physical therapy evaluation, or speech-language evaluation, etc.). The evaluation/re-evaluation report includes a summary of assessments and interpretation of those assessments.
**Expressive language**: Ability to communicate one's thoughts, verbally or non-verbally. It includes: morphology (word markers), syntax (word order), semantics (meaning), and pragmatics (social language).

**Fine motor**: The skilled use of one's hands. It is the ability to move the hands and fingers in a smooth, precise and controlled manner. Fine motor control is essential for efficient handling of classroom tools and materials. It may also be referred to as dexterity.

**Focused plan of study**: An initial four-year plan of focused and purposeful high school study.

**Formal assessments**: A formal assessment is any kind of test that is administered to gauge the proficiency level of the student taking the test.

**Fluency**: (Automaticity) is reading words with no noticeable cognitive or mental effort. It is having mastered word recognition skills to the point of overlearning. Fundamental skills are so "automatic" that they do not require conscious attention. [http://reading.uoregon.edu/big_ideas/flu/flu_what.php](http://reading.uoregon.edu/big_ideas/flu/flu_what.php)

**Free Appropriate Public Education (FAPE)**: Special education and related services that (a) are provided at public expense, under public supervision and direction, and without charge; (b) meet the standards of the state education agency, including the requirements of this part; (c) include an appropriate preschool, elementary school, or secondary school education in the state involved; and (d) are provided in conformity with an IEP that meets the requirements of Sec. Sec. 300.320 through 300.324.

**Functional behavior assessment (FBA)**: A problem solving process for gathering information which describes student problem behaviors, looks for the reasons (function) behind the behaviors, and offers interventions that teach new behaviors to replace the undesired ones.

**Functional/vocational evaluation**: An assessment process focused specifically on providing information about job or career interests, aptitudes, and skills.

**General education teacher**: A teacher qualified to teach the student, who will be able to provide data on academic progress through a variety of classroom assessments and daily observations, as well as provide information shared by parent(s)/legal guardian(s).

**Gestures**: A movement of part of the body, especially a hand or the head, to express an idea or meaning without the use of words.

**Health and safety**: Skills needed for protect and respond to health, illness, and injury, including following safety rules, using medicines, showing caution, etc.

**Hearing impairment**: Full or partial decrease in the ability to detect or understand sounds.
Home language, first language, or primary language: These terms have several possible meanings for ELs: the first language learned, the dominant language, the native language, and/or the language most frequently used.

Homeless: Individuals who lack a fixed, regular, and adequate nighttime residence.

Immigrant: A person who comes to live permanently in a foreign country.

Individualized Education Plan (IEP): A written statement for a child with a disability that is developed, reviewed, and revised in accordance with Sec. Sec. 300.320 through 300.324.

Individualized Education Plan meeting: Required by federal statute, is convened at least once a school year to plan an educational program that is tailored to the needs of each disabled child.

Individuals with Disabilities Education Act (IDEA): A federal law ensuring services to children with disabilities throughout the nation. IDEA governs how states and public agencies provide early intervention, special education, and related services to more than 6.5 million eligible infants, toddlers, children and youth with disabilities.

Instruction: Refers to formal instruction that takes place in the school, home, or community, including community-based instruction, academic and career/technical education courses, self-determination and self-advocacy training, and extracurricular activities.

Intellectual disability: Characterized by significantly impaired intellectual functioning, existing concurrently with deficits in adaptive behavior and manifested during the developmental period that adversely affect a child’s educational performance.

Intervention: A combination of program elements or strategies designed to produce educational and/or behavior changes for a student.

Large scale assessment: Traditionally defined as the measuring of student progress at the local, state, or national level.

Learning styles: Preferred way(s) in which individuals interact or process new information across the three domains of learning identified in the taxonomy of educational objectives: cognitive (i.e., knowledge), psychomotor (i.e., skills), and affective (i.e., attitude). An individual’s preferred learning style is how he or she learns best.

Least dangerous assumption: Considering general education first for all students, regardless of disability, is a critical component of the least dangerous assumption.
**Least Restrictive Environment (LRE):** The setting in which special education services and supports will be provided to the student. LRE is based on the presumption that the general education setting is the first choice for educating all individuals. LRE refers to a related set of requirements aimed at providing individuals with disabilities: the greatest interaction with children, youth and adults without disabilities; the appropriate education; and the special assistance needed for success in the general education setting. LRE is not contingent on funding issues.

**Limited English proficient (LEP):** A student who is not fully English proficient, speaks a language other than English at home, and does not demonstrate English language skills of comprehension, speaking, reading, and writing at a level of proficiency.

**Low vision specialist:** A state-credentialed teacher with an endorsement in the instruction of students with visual impairments. This person is certified to conduct and/or interpret functional vision assessments.

**Manifestation determination:** The IEP team review of all relevant information in the student's file to determine if misconduct in question was caused by the child's disability or if the misconduct was a direct result of the school district's failure to implement the child's IEP; must occur within 10 school days of any decision to change the placement of a child with a disability because of violation of school code.

**Math calculation:** Computing numbers using a designated process.

**Measurable Annual Goal (MAG):** Addresses a student's specific area of deficit and will align to present levels of educational performance (PLEPs).

**Measurable postsecondary goals (MPGs):** Goals for the student after they leave high school. These are goals the student is expected to meet within five years of graduation or exit from the high school.

**Media:** Tools utilized for communicating with parent(s)/legal guardian(s).

**Migrant student:** A child who is, or whose parent, spouse, or guardian is, a migratory agricultural worker or migratory fisher, and who, in the preceding 36 months, has moved from one school district to another, to obtain or accompany such parent, spouse, or guardian, in order to obtain temporary or seasonal employment in agricultural or fishing work as a principal means of livelihood.

**Modifications:** Changes made in the educational program that allow a student with a disability to attain MAGs, be involved in and make progress in the general education curriculum, and be educated with other children with disability and without disability (20 U.S.C. 1414 & 614 (d)(1)(a)(i) (IV)). These changes modify what the student is learning compared with his or her general education peers. For example, a student might receive a modified instructional setting (i.e., a reduced student-to-adult ration), a modified instructional objective (i.e., learning to write his or her name rather than learning to write sentences), or
modified instructional materials (i.e., a summarized version highlighting key words of a text as opposed to the entire text).

**Multi-Tiered System of Supports Framework**: The [MTSS framework](#) is a problem-solving system for providing students with the instruction, intervention, and supports they need with the understanding there are complex links between students' academic and behavioral, social, and personal needs. The framework provides multiple tiers of interventions with increasing intensity along a continuum.

**Narratives**: Narratives include statements on student strengths, parent/legal guardian concerns, adverse impact on educational performance, and consideration of special factors.

**Native language**: A first language, also father tongue/mother tongue, arterial language, or L1, is the language or languages a person has been exposed to from birth or within the critical period, or that a person speaks the best and so is often the basis for sociolinguistic identity.

**Occupational therapists (OT)**: Provide consultation and support to staff to improve a student’s educational performance related to fine motor, gross motor, and sensory integration development.

**Ophthalmologist**: A medical doctor who specializes in the branch of medicine dealing with the structure, functions, and diseases of the eye and their correction.

**Optometrist**: In Tennessee, this licensed specialist can determine the degree of visual impairment, if any, and perform many of the same practices as an ophthalmologist, excluding surgery.

**Orientation and mobility specialist**: A person qualified to provide evaluation and teaching services to blind or visually impaired students to enable those students to attain systematic orientation to and safe movement within their environments in school, home, and community; and instruction to students in the following: (a) to use spatial and environmental concepts of information received by the senses (i.e., sound, temperature and vibrations) to establish, maintain, or regain orientation and line of travel (e.g., using sound at a traffic light to cross the street); (b) to use the long cane to supplement visual travel skills or as a tool for safely negotiating the environment for students with no available travel vision; and (c) to understand and use remaining vision and distance low vision aids; and other concepts, techniques, and tools.

**Oral expression**: The ability to express what one wants to say in an oral manner.

**Organization**: The ability to create and maintain systems to keep track of information and materials.

**Outside agency**: Community connections for the student and their family.
**Parental consent:** Parent(s)/legal guardian(s) have been fully informed in native language or other mode of communication of all the information about the action for which they are giving consent and that they understand and agree in writing to that action.

**Perception:** The ability to make sense of what one sees, hears, feels, tastes, or smells.

**Physical therapists (PT):** Provide consultation and support to staff to improve a student's educational performance related to functional gross motor development.

**Postsecondary:** Education, employment, and activities after high school.

**Pre-referral interventions:** Pre-referral interventions are structured, organized methods that involve critical staff. These staff members review existing student records and make recommendations regarding academic and/or behavioral interventions and strategies that will support increased student functioning.

**Present level of educational performance (PLEP):** The foundation of the IEP. They state how student's current functioning impacts them on grade level standards. PLEPs provide the informational basis for generating goals, supports, accommodations, and services that are specifically designed to meet the student's individual needs.

**Primary language, first language, or home language:** These terms have several possible meanings for ELs: the first language learned, the dominant language, the native language, and/or the language most frequently used.

**Prior written notice:** A legal right guaranteed to every parent/legal guardian. Prior written notice requires the school to send written explanations of any proposed changes in your child's educational plan. Prior written notice also requires the school to send a written notice if the school denies a parent/legal guardian request.

**Private school:** There are new laws regulating the rights of students with disabilities whose parent(s)/legal guardian(s) place them in private schools. When a student is enrolled in private school and has academic difficulties, the school where the student attends needs to inform the parent/legal guardian and the local public school district of the student's difficulties. The district of residence may assess the student to determine if the student qualifies for special education. If they do qualify, the district of residence is responsible for writing an IEP.

**Problem solving:** Ability to consider the probable factors that can influence the outcome of each of various solutions to a problem, and to select the most advantageous solution. Individuals with deficits in this skill may become "immobilized" when faced with a problem. By being unable to think of possible solutions, they may respond by doing nothing.
**Procedural safeguards:** Explains parents/legal guardians' specific rights and responsibilities under the IDEA. The procedural safeguards fulfills the current legal requirements for the federally prescribed content of this notice. When districts distribute this guide, other than adding local contact information in the designated space, they must not change the wording and format of the document.

**Psychiatrist:** Holds a license issued by the appropriate licensing board in the state in which the certification was approved. In Tennessee, the licensing agency is the Tennessee Board of Health Related Boards. The licensed psychiatrist holds a M.D. degree and has the ethical responsibility for determining if his/her areas of expertise include the diagnosis and certification of the given exceptionality.

**Psychologist:** Holds a license issued by the appropriate licensing board in the state in which the child was determined disabled. In Tennessee, the licensing agency is The Tennessee Health Related Boards in Psychology. The licensed psychologist will hold the Psy.D, Ed.D, or Ph.D. degree. He or she must be competent to evaluate students for special education eligibility. The ability to administer tests does not solely establish competence in evaluating exceptionalities or the potentially extensive needs of students.

**Psychological examiner:** Must also hold a license issued by the Tennessee Health Related Boards in Psychology. He or she will hold the M.A., M.S., M.Ed., Ed.S, Psy.D, Ed.D, or Ph.D. degree. The licensed senior psychological examiner must be competent to evaluate students in the suspected disability area. Prior to utilizing licensed personnel, it is important to consider the types of services to be delivered in relation to the person's training and experience.

**Reading comprehension:** The process of simultaneously extracting and constructing meaning through interaction and involvement with written language. It consists of three elements: the reader, the text, and the activity or purpose for reading.

**Reading specialist:** Supports, supplements, and extends classroom teaching, and works collaboratively to implement a quality reading program that is research-based and meets the needs of students. The reading specialist has specialized knowledge of assessment and diagnosis that is vital for developing, implementing, and evaluating the literacy program in general, and in designing instruction for individual students.

**Receptive language:** The comprehension of language; the ability to understand what is communicated.

**Re-evaluation:** A re-determination of a child's eligibility for special education and related services by an IEP team. Re-evaluations occur at least once every three years, or more frequently if conditions warrant or if requested by the child's parent/legal guardian or teacher.

**Related services:** Students who need special education and specially designed instruction are eligible for related serves under IDEA. During the evaluation process the student is evaluated to first find out if the student has a disability, and secondly to determine what types of related services the student requires. Within IDEA there are many related services.
Response to Instruction and Intervention (RTI²): A three tier integrated, seamless problem solving model that addresses individual student needs. Tier I (general education of all students), Tier II (strategic intervention), and Tier III (intensive remediation).

School district: A public board of education or other public authority legally constituted within a state for either administrative control or direction of, or to perform a service function for, public elementary, or secondary schools in a city, county, township, or other political subdivision of a state, or for a combination of school districts or counties as are recognized in a state as an administrative agency for its public elementary schools or secondary schools.

School psychologist: Assists in the identification of intellectual, social and emotional needs of students. They provide consultation and support to families and staff regarding behavior and conditions related to learning. They plan programs to meet the special needs of children and often serve as a facilitator during an IEP meeting.

Self-advocacy: Learning how to speak up for yourself, making your own decisions about your own life, learning how to get information so that you can understand things that are of interest to you, finding out who will support you in your journey, knowing your rights and responsibilities, problem solving, listening and learning, reaching out to others when you need help and friendship, and learning about self-determination.

Self-determination: A combination of skills, knowledge, and beliefs that enable a person to engage in goal-directed, self-regulated, autonomous behavior. An understanding of one's strengths and limitations, together with a belief of oneself as capable and effective are essential to self-determination. When acting on the basis of these skills and attitudes, individuals have greater ability to take control of their lives and assume the role of successful adults in our society.

Short-term objectives: Short-term objectives, or benchmarks, are milestone achievements required for meeting larger goals. For students assessed on the state alternate assessments, short-term objectives are required for the Measurable Annual Goals. They should follow the same guidelines as Measurable Annual Goals.

Social skills: Refers to the set of skills people use to interact and communicate with one another.

Special education teacher-Special education teachers work with students who have a wide range of learning, mental, emotional, and physical disabilities. They adapt general education lessons and teach various subjects, such as reading, writing, and math, to students.

Specific learning disability: "In one or more of the basic psychological processes involved in understanding or in using language, spoken or written, which may manifest itself in the imperfect ability to listen, think,
speak, read, write, spell, or do mathematical calculations, and that adversely affects a child's educational performance. Such term includes conditions such as perceptual disabilities (e.g., visual processing), brain injury that is not caused by an external physical force, minimal brain dysfunction, dyslexia, and developmental aphasia. Specific learning disability does not include a learning problem that is primarily the result of visual impairment, hearing impairment, orthopedic impairment; intellectual disability; emotional disturbance; LEP; environmental or cultural disadvantage.

**Supplemental security income (SSI):** Benefits are provided to qualified individuals who cannot engage in substantial gainful work activity because of a disability and who fall below certain assets and income levels.

**Supplementary aids and services:** Aids, services, and other supports that are provided in regular education classes or other education-related settings to enable children with disabilities to be educated with nondisabled children to the maximum extent appropriate in accordance with section 612(a)(5).

**Task initiation:** The ability to recognize when it is time to get started on something and begin.

**Timeline extension:** A formal request if more time is needed to adequately determine the student's response to intervention and the presence of a disability.

**Transfer student:** When a student transfers between districts within a state, or from out of state.

**Transition assessment:** The purpose of transition assessment is to identify student preferences, interests, needs, and strengths (PINS). These assessments are a portion of the PLEP and inform transition planning, including Measurable Annual Goals. Transition assessment is an individualized, ongoing process that includes meaningful participation by the student and family. Age-appropriate transition assessments must include information about the academic achievement and functional performance of the student. Previous pre-vocational assessment data can also be reviewed as a part of this assessment process to inform current assessment.

**Transition plan:** A transition plan is the section of the IEP that outlines transition goals and services for the student. The transition plan is based on a high school student's individual needs, strengths, skills, and interests. Transition planning is used to identify and develop goals which need to be accomplished during the current school year to assist the student in meeting his post-high school goals.

**Transition services:** The purpose of transition services is to reasonably enable the student to meet the postsecondary goals (MPSGs). It is a coordinated set of activities, designed within an outcome-oriented process that promotes movement from school to post school activities.

**Transportation:** A related service that must be considered annually for a student.
**Unilaterally**: performed by or affecting only one person, group in a particular situation, without the agreement of another or the others.

**Unilaterally placed children**: Parent removal of a child from public school to private school, including home school.

**Vision specialists**: Provide consultation and support to staff and direct instructional support to students with visual impairments. They provide functional vision assessments and curriculum modifications including Braille, large type and aural media.

**Visual impairment**: Including blindness means impairment in vision that, even with correction, adversely affects a child's educational performance. The term includes both partial sight and blindness.

**Work based learning (WBL)**: Opportunities for all students and can be found in a variety of courses, including academic, career and technical education, and special education. In order to offer WBL opportunities, local boards of education must adopt policy establishing a system that provides structured WBL experiences for students.

**Working memory**: A system for temporarily storing and managing the information required to carry out complex cognitive tasks such as learning, reasoning, and comprehension. Working memory is involved in the selection, initiation, and termination of information-processing functions such as encoding, storing, and retrieving data.


Individuals with Disabilities Education Act, 2004


Appendix A: Prior Written Notice Memo

TO: Directors of Special Education
FROM: Theresa Nicholls
Assistant Commissioner for Special Populations
DATE: June 8, 2017
RE: Prior Written Notice

The division of special populations issues this guidance to clarify the use of prior written notice. This memorandum supersedes all previous guidance from the department on this topic.

Tennessee Special Education Regulation 0520-01-09-.16 regarding prior written notice by the LEA provides that prior written notice must be given to the parent of a child suspected to have a disability or a child with a disability at least ten (10) school days prior to the LEA either proposing or refusing to initiate or change the identification, evaluation, or educational placement of the child or the provision of FAPE to the child. The state regulation supplements the IDEA regulation at 34 C.F.R. 300.503 by providing a time frame within which prior written notice must be given to the parent of a child with a disability. Legally, the proposal or refusal is an act taken subsequent to the meeting and not to be proposed or refused prior to a meeting. The term “prior written notice” refers to written notice that is required after a change has been proposed or refused but before (i.e., prior to) implementing that change. The following are instances requiring prior written notice:

**IEP Team Proposal**

When the IEP team proposes to alter the educational placement or provision of FAPE of the child, the LEA must give the parent prior written notice 10 school days before (i.e., prior to) implementing the change in the IEP. The prior written notice must include a description of the action proposed, an explanation of why the LEA proposed the action and a description of other actions considered. The prior written notice may be given to the parent at the conclusion of the IEP meeting. If the parent is in agreement with the alteration of the educational placement or provision of FAPE of the child, the alterations may be implemented sooner than 10 school days. If the parent does not agree with the proposal, the parent must be allowed 14 calendar days within which to request a due process hearing. If the parent does not request a hearing within 14 calendar days, the alteration may be added to the child’s IEP and implemented as soon as reasonably possible thereafter.

**IEP Team Refusal**

When the IEP team refuses a parent's request to change the educational placement or the provision of FAPE to the child, the LEA must give the parent prior written notice within 10 school days of the IEP meeting at which the parent's request was refused by the LEA. The prior written notice must include a
description of the change refused by the LEA and an explanation of why the LEA refused the request. The prior written notice may be given to the parent at the conclusion of the IEP meeting. Thereafter, the parent may, at any time within the two-year statute of limitations, request a due process hearing proposing the change that was refused by the IEP team.

**Initial Evaluation**

When a parent requests an initial evaluation in writing, or the LEA refers a child for an initial evaluation, the LEA must obtain informed written consent from the parent before the evaluation can begin, and must give the parent prior written notice 10 school days before the evaluation is to begin. The prior written notice must include a description of the actions proposed or refused by the LEA and the reasons for the proposal or refusal. If the parent is in agreement with the actions proposed, the evaluation may begin sooner than 10 school days. The prior written notice may be given to the parent at the conclusion of an evaluation team meeting or, if a meeting is unnecessary because the LEA agrees with the initial evaluation request, it may be given directly to the parent. If the LEA refuses a parent's request for an initial evaluation, it must provide the parent a prior written notice which must include an explanation of why the LEA refused the request. Thereafter, the parent may request a due process hearing proposing that the child be evaluated.

**Re-evaluation**

When the LEA proposes to conduct additional evaluations of a child, or a re-evaluation is required for a child, the LEA must give the parent a prior written notice 10 school days before the evaluation is to begin. If additional assessments or testing are necessary for re-evaluation, informed written consent must be obtained from the parent. The prior written notice must include a description of the actions proposed or refused by the LEA and the reasons for the proposal or refusal. The prior written notice may be given to the parent at the conclusion of the IEP team meeting.
Appendix B: Timeline Extension

TO: Directors of Special Education LEA
    Assessment Personnel
FROM: Joey Hassell, Assistant Commissioner of Special Populations
DATE: March 31, 2014
RE: 60 Calendar Day Initial Evaluation Timeline Rule Change and Timeline Extension Requests

In order to align with the federal guidelines regarding the sixty (60) calendar day evaluation timeline, initial consents signed after Jan. 29, 2014 will follow the requirements of 34 C.F.R. §300.301(d) and (e) and 34 C.F.R. §300.309(c). According to these regulations, an extension of the evaluation timeline may only be requested in the following instances:

- The parent and local education agency agree in writing to extend the time line pursuant to 34 CFR 300.309(c) pending determination of the existence of a specific learning disability via the responsiveness to intervention process;
- the parent of a child repeatedly fails or refuses to produce the child for the evaluation; or,
- the child enrolls in another local education agency after the timeframe has begun and a determination of eligibility was not completed by the local education agency that commenced the initial evaluation process, the succeeding local educational agency is making sufficient progress to ensure completion of the evaluation, and the parent and the succeeding local education agency agree on a specific timeframe within which the evaluation will be completed.

Any other reason for delay will be captured on the IEP creation page of EasyIEP as a rule of completion when an initial evaluation exceeds 60 calendar days.

cc: Theresa Nicholls, Evaluation Services Coordinator
    Bill Wilson, Assistant General Counsel for Special Education
    Nathan Travis, Director of Data Services
Appendix C: Transfer Students

Student transfers to a Tennessee School system and there is evidence (i.e., IEP or Eligibility Report) that the student has a disability

The student transfers to a Tennessee school system from within the state

Previous evaluations are complete
- No action required- Student’s eligibility date remains the same.
- Develop an IEP

Previous evaluations are not complete
- Complete the state’s Reevaluation Summary Report to review existing evaluation data and check appropriate option under YES Additional Assessments Needed.
- Develop a comparable IEP
- Once assessments are complete, IEP team reconvenes to determine continued eligibility. Generate and sign updated eligibility report.

The student transfers to a Tennessee school system from out of state

Complete the state’s Reevaluation Summary Report to review existing evaluation data

Previous evaluations are complete and sufficient for establishing eligibility according to Tennessee State Disability Standards
- Check appropriate option under YES Additional Assessment Needed on RSR
- Generate and sign Eligibility Report
- Develop an IEP
- Enter “Consent Date” as the date parent signed consent for evaluation
- Develop a comparable IEP
- Once assessments are complete, IEP team reconvenes to determine eligibility. Generate and sign updated eligibility report.

Previous evaluations are NOT complete or sufficient for establishing eligibility according to Tennessee State Disability Standards
- Check appropriate option under YES Additional Assessment Needed on RSR
- Generate an “Out of State” Eligibility Report
- Enter “Consent Date” as the date parent signed consent for evaluation
- Develop a comparable IEP
- Once assessments are complete, IEP team reconvenes to determine eligibility. Generate and sign updated eligibility report.
MEMORANDUM

TO: Directors of Special Education
    Special Education Assessment Personnel
FROM: Joey Hassell, Assistant Commissioner of Special Populations
DATE: September 12, 2013
RE: Transfer Process for Students with Individualized Education Plans (IEPs)

This memorandum provides guidance to local education agencies (LEAs) regarding the eligibility determination and development of Individualized Education Programs (IEPs) within the EasyIEP system for students with disabilities who transfer to a public school in Tennessee.

The IDEA Regulations at 34 C.F.R. provide: IEPs for children who transfer from another State. If a child with a disability (who had an IEP that was in effect in a previous public agency in another State) transfers to a public agency in a new State, and enrolls in a new school within the same school year, the new public agency (in consultation with the parents) must provide the child with FAPE (including services comparable to those described in the child's IEP from the previous public agency), until the new public agency—(1) Conducts an evaluation pursuant to Sec. Sec. 300.304 through 300.306 (if determined to be necessary by the new public agency); and (2) Develops, adopts, and implements a new IEP, if appropriate, that meets the applicable requirements in Sec. Sec. 300.320 through 300.324.

In the case of transfer students, there are two possible scenarios:
1. The student transfers to a Tennessee school system from within the state
2. The student transfers to a Tennessee school system from out of state

For in-state transfer students: If an in-state transfer student's records are complete, the IEP team does not need to complete a new Eligibility Report and the student's eligibility date remains the same. If components are missing from an in-state transfer student's records, the IEP team will complete the Reevaluation Summary Report (RSR) to review existing evaluation data and determine what assessments are needed in order to establish the student's continued eligibility and need for special education services. Once assessments are complete, the IEP team will reconvene to determine the student's eligibility for services and complete a new Eligibility Report. For out of state transfer students: The IEP team will complete the Reevaluation Summary Report to review existing evaluation data and determine whether these assessments are sufficient for determining the student's eligibility according to Tennessee State standards.

If an out of state transfer student's records are complete and sufficient for determining eligibility, the IEP team will complete an Eligibility Report. On the eligibility tab, the referral date will be entered as the date the LEA was made aware that the student previously received special education services, and the consent date will be entered as the date the parent consented to the file review for eligibility purposes (i.e., the date the RSR was signed).
If an out of state transfer student’s records are not complete or sufficient for determining eligibility, the IEP team will indicate the assessment decision on Section V of the Reevaluation Summary Report. On the eligibility tab, the referral date will be entered as the date the LEA was made aware that the student previously received special education services, and the consent date will be entered as the date the parent signed consent for additional assessments. The IEP team will generate and sign an Eligibility Report—Out of State Transfer which provides eligibility during the initial evaluation timeline. When assessments are complete, the IEP team will reconvene to review evaluation results and determine eligibility according to Tennessee State Disability standards. At this time, an Eligibility Report will be generated and signed to reflect the current eligibility date and disability. If the student is found eligible, a new IEP will also be created to reflect the current date and data collected from the reevaluation/assessment.

Please refer to the following documents for further clarification: flowchart for transfer students.
Appendix D: Unique Adaptive Accommodations Request

Directions: If an English learner or a student with a disability requires an accommodation that is not listed in the Accessibility and Accommodation guidance document and that does not change the construct being measured by the test, the school may request approval for use of the accommodation using this request form. If approved, the accommodation must be listed in the Individualized Education Plan (IEP) or 504 plan for a student with a disability or the English learner plan, if applicable.

To request approval for a unique accommodation, this form must be completed and uploaded to EdTools by the principal or district primary testing coordinator, or designee, at least six weeks prior to testing to ensure a timely state response is received. Do not email this form. Once the form is uploaded, email tned.assessment@tn.gov. A copy of this form must be kept in the student’s file and, if appropriate, retained at the district office.

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### TNReady Test Administration

For which TNReady Assessment are you seeking approval to use the unique accommodation?

Provide a brief description of the accommodation for which you are requesting approval:

Describe evidence that supports the need for this accommodation, including how it is used by the student in the classroom and on other assessments:

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